



## The University of Toledo - Main Campus Policy

### III-3-4 Article IV Compliance

*Policy 3364-70-10 Laboratory animal welfare, care and use, effective March 25, 2008; 3364-70-08 Policy for research using cadaveric tissue, effective June 1, 2008; and 3364-70-06 Use of biohazardous materials, effective March 25, 2008, superseded pertinent portions of policy III-3-4 Article IV.*

#### **Section 2. The Use of Radioisotopes and X-ray**

##### **Generating Sources in Research and Teaching:**

Procedures for the use of radioisotopes are set forth in detail in the document entitled *The Radiation Safety Manual of the University of Toledo*. A copy can be obtained from the Radiation Safety Officer through the University Office of Research. Briefly, the document covers procedures for procurement of radioactive materials, obtaining permission to use radioactive materials, training of personnel, radiation safety, surveys, testing, personnel monitoring, waste management and additional aspects of the safe use of radioisotopes.

#### **Section 3. The Use of Human Subjects in**

##### **Research and Investigational Activities:**

The procedures for the use of human subjects in research and investigational activities is set forth in detail in the University document entitled *Protection of Human Subjects in Research and Investigational Activities*. Protocol forms for the use of human subjects in research and teaching as well as any additional; information can be obtained from the Chair of the Institutional Review Board through the University Office of Research.

- c. **Misconduct in Research** - See Section III-2-6
- d. **Conflict of Interest** – See Section III-2-7
- e. **Non-tenure Track Research Faculty**

### **Criteria For Appointment And Promotion**

The following procedures and criteria offer minimum standards and requirements that should be exceeded in most, if not all, cases. They have been developed

solely for the purpose of continually upgrading the quality and performance of the faculty.

The designation of ranks for the Research Title Series faculty parallel those traditionally used in the tenure-track series in universities, i.e., Assistant Professor, Associate Professor and Professor.

### **Research Assistant Professor**

Appointment to the rank of Research Assistant Professor requires that the individual shall have demonstrated a high level of professional competence and ability to undertake a research project independently, as evidenced by scholarly activity including publication of doctoral dissertations and/or articles in peer-reviewed journals.

### **Research Associate Professor**

Appointment to the rank of Research Associate professor requires that the individual meet the minimum requirements for appointment to Research Assistant Professor. The individual shall have demonstrated continued professional growth and have attained extramural funding independently if appropriate to the field. The individual shall present evidence of a productive line of scholarly work, including publication in peer-reviewed journals, membership in and service to national/international scholarly organizations and have a developing national reputation as an independent scholar in his/her field.

### **Research Professor**

Appointment of promotion to the rank of Research professor requires that the individual meet the minimum requirements for appointment to Research Associate Professor. The individual shall have an outstanding record of achievement in research, including publication of a body of work that has advanced the field, national recognition as a scholar and a vigorous extramurally funded (if appropriate to the field) research program. In addition, the individual shall have demonstrated a leadership role in the discipline as evidenced, for example, by review of journal articles, nationally competitive grant proposals and/or similar activities reflecting the national/international recognition of the individual in his/her discipline, leadership in and service to national/international scholarly organizations, participation in the review of journal articles and research proposals and/or other similar activities.

#### **f. Intellectual Property**

Faculty and other University employees are required to disclose their inventions, which are properly owned by the University. Invention disclosure forms can be obtained from University Office of Research Staff.

g. **Technology Transfer**

University Office of Research staff will work with inventors, legal counsel and third parties to negotiate license and other agreements that transfer University-owned technologies to the private sector.

h. **Materials Transfer**

Requests for materials transfer agreements will be made to the University Office of Research Staff, who will negotiate a materials transfer agreement with the party receiving/providing the material.

i. **Fees for Special Research Products or Services**

A faculty member who wishes to recover a fee for a special research product or service should contact Office of Research Staff, who together with the faculty member will establish a fee schedule for the products or services. For example, a fee could be charged to a faculty member at another university for a monoclonal antibody that was originally produced as part of a federally sponsored program. In this example the fee would cover the cost of packaging and mailing the antibody together with any information about the antibody.

j. **Use of University Facilities for Commercial Research**

Initial estimates of rates for use in proposals to carry out commercial research can be obtained from the University Office of Research Staff. Actual rates for commercial research will be negotiated between the faculty member and the Office of Administrative Affairs.

k. **Spin-off Companies**

Faculty who wish to consider the formation of a spin-off company from University-owned or faculty-owned technologies should contact the University Office of Research Staff, who will work with all parties having a legitimate interest to develop a plan for the company.

l. **Economic Development**

Proposals for new economic development initiatives should be forwarded to the Vice President for Graduate Studies, Research and Economic Development for further consideration. Proposals should carry the endorsements of chairs of departments or other unit heads and college deans, if appropriate.

*Adopted by the UT Board of Trustees, February 10, 1999*