

**ERIC C. CHAFFEE**  
**Distinguished University Professor**  
**The University of Toledo College of Law**  
**2801 West Bancroft, MS 507**  
**Toledo, Ohio 43606**

#### **CURRENT ACADEMIC APPOINTMENT**

**The University of Toledo College of Law**, Toledo, OH August 2013-Present  
Distinguished University Professor (July 1, 2020-Present)  
Professor of Law (August 2013-Present)  
Associate Dean of Faculty Research and Development (July 2015-June 2017)  
Director, Graduate Program in Compliance (January 2018-June 2019)

#### **SCHOLARSHIP**

##### **Books**

ADVANCED INTRODUCTION TO THE LAW OF CRYPTOCURRENCIES (Edward Elgar Publishing, forthcoming 2022).

THE CORPORATION DEFINED: COLLABORATIVE THEORY AND THE CORPORATE FORM (Cambridge University Press, forthcoming 2021).

SECURITIES REGULATION IN CYBERSPACE (4<sup>th</sup> ed. 2018) (with Howard M. Friedman) (loose-leaf treatise with annual updates).

GLOBAL ISSUES IN SECURITIES LAW (2013) (with Frank Gevurtz & Marc Steinberg).

##### **Book Chapters**

*Securities Regulation and Artificial Intelligence: Regulating Robo-Advisers*, in THE CAMBRIDGE HANDBOOK OF PRIVATE LAW AND ARTIFICIAL INTELLIGENCE (forthcoming 2021).

##### **Law Review Articles**

*Collaboration Theory and Corporate Tax Avoidance*, 76 WASH. & LEE L. REV. 93 (2019).

*Corporate Tax Avoidance and Honoring the Fiduciary Duties Owed to the Corporation and Its Stockholders*, 58 BOSTON COLLEGE L. REV. 1425 (2017) (with Karie Davis-Nozemack).

*A Call for Legislative Reform: Expanding the Extraterritorial Application of the Private Rights of Action Under Federal Securities Law While Limiting the Scope of Relief Available*, 22 STANFORD J.L. BUS. & FIN. 1 (2017).

*Securities Regulation in Virtual Space*, 74 WASH. & LEE L. REV. 1387 (2017) (reprinted in the SECURITIES LAW REVIEW 2018) (featured on the OXFORD BUSINESS LAW BLOG).

*Collaboration Theory: A Theory of the Charitable Tax Exempt Nonprofit Corporation*, 49 U.C. DAVIS L. REV. 1719 (2016).

*An Interdisciplinary Analysis of the Use of Ethical Intuition in Legal Compliance Decision Making for Business Entities*, 74 MARYLAND L. REV. 497 (2015).

*The Death and Rebirth of Codes of Legal Ethics: How Neuroscientific Evidence of Intuition and Emotion in Moral Decision Making Should Impact the Regulation of the Practice of Law*, 28 GEORGETOWN J. LEGAL ETHICS 323 (2015).

*Answering the Call to Reinvent Legal Education: The Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools*, 20 STANFORD J.L. BUS. & FIN. 121 (2015).

*Regulating On-line Peer-to-Peer Lending in the Aftermath of Dodd-Frank: In Search of an Evolving Regulatory Regime for an Evolving Industry*, 69 WASH. & LEE L. REV. 485 (2012) (with Geoffrey C. Rapp).

*Standing Under Section 10(b) and Rule 10b-5: The Continued Validity of the Forced Seller Exception to the Purchaser-Seller Requirement*, 11 U. PENN. J. BUS. L. 843 (2009).

*Business Organizations and Tribal Self-Determination: A Critical Reexamination of the Alaska Native Claims Settlement Act*, 25 DUKE - ALASKA L. REV. 107 (2008).

*Beyond Blue Chip: Issuer Standing to Seek Injunctive Relief Under Section 10(b) and Rule 10b-5 Without the Purchase or Sale of a Security*, 36 SETON HALL L. REV. 1135 (2006).

*Sailing Toward Safe Harbor Hours: The Constitutionality of Regulating Television Violence*, 39 U. MICH. J.L. REFORM 1 (2005).

#### **Law Review Symposium Essays and Other Invited Work**

*Index Funds and ESG Hypocrisy*, 71 CASE W. RES. L. REV. (forthcoming 2021) (invited symposium).

*Schrödinger's Hacker: Insider Trading and Data Breaches*, 14 TENN. J.L. & POL'Y (forthcoming 2021) (invited symposium).

*A Theory of the Business Trust*, 88 U. CINCINNATI L. REV. 797 (2019) (invited symposium).

*The Heavy Burden of Thin Regulation: Lessons Learned from the SEC's Regulation of Cryptocurrencies*, 70 MERCER L. REV. 615 (2019) (invited symposium).

*Regulation as Placeholder: Lessons Learned from the Foreign Corrupt Practices Act*, 3 JOURNAL OF REGULATORY COMPLIANCE 4 (2019) (invited symposium).

*The Origins of Corporate Social Responsibility*, 85 U. CINCINNATI L. REV. 353 (2017) (invited symposium) (featured on the HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE AND FINANCIAL REGULATION).

*The Supreme Court as Museum Curator: Securities Regulation and the Roberts Court*, 67 CASE W. RES. L. REV. 847 (2017) (invited symposium).

*An Oak is an Oak Is an Oak Is an Oak: The Disappointing Entrenchment in Halliburton Co. v. Erica P. John Fund of the Implied Private Right of Action Under Section 10(b) and Rule 10b-5, Inc.*, 9 N.Y.U. J.L. & LIBERTY 92 (2015) (invited).

*From Legalized Business Ethics to International Trade Regulation: The Role of the Foreign Corrupt Practices Act and Other Transnational Anti-Bribery Regulations in Fighting Corruption in the International Trade*, 65 MERCER L. REV. 701 (2014) (invited symposium).

*The Role of the Foreign Corrupt Practices Act and Other Transnational Anti-Corruption Laws in Preventing or Lessening Future Financial Crises*, 73 OHIO ST. L.J. 1283 (2012) (invited symposium).

*The Dodd-Frank Wall Street Reform and Consumer Protection Act: A Failed Vision for Increasing Consumer Protection and Heightening Corporate Responsibility in International Financial Transactions*, 60 AMERICAN U. L. REV. 1431 (2011) (invited symposium).

*Contemplating the Endgame: An Evolutionary Model for the Harmonization and Centralization of International Securities Regulation*, 79 U. CINCINNATI L. REV. 587 (2010) (invited symposium).

*Finishing the Race to the Bottom: An Argument for the Harmonization and Centralization of International Securities Law*, 40 SETON HALL L. REV. 1581 (2010) (invited symposium).

*The Internationalization of Securities Regulation: The United States Government's Role in Regulating the Global Capital Markets*, 5 J. BUS. & TECH. L. 187 (2010) (invited).

*A Moment of Opportunity: Reimagining International Securities Regulation in the Shadow of Financial Crisis*, 15 NEXUS 29 (2010) (invited).

*Always Do the Right Thing: Ethical Intuitionism and Legal Compliance in the Business World*, 85 WASH. U. L. REV. SLIP OPINIONS (2008), <http://washulrev.blogspot.com>.

### **Law Review Symposium Introductions**

*National Security in the Wake of the COVID-19 Pandemic*, 52 U. TOL. L. REV. (forthcoming 2021).

*Regulation in a Time of Crisis*, 51 U. TOL. L. REV. 473 (2020).

*The Role of Technology in Professional Advice*, 50 U. TOL. L. REV. ix (2019).

*Creating Compliance: Exploring a Maturing Industry*, 48 U. TOL. L. REV. 429 (2017).

*Perspectives on Gender and Business Ethics: Women in Corporate Governance*, 37 U. DAYTON L. REV. 1 (2011).

*A Panoramic View of the Financial Crisis that Began in 2008: The Need for Domestic and International Regulatory Reform*, 35 U. DAYTON L. REV. 1 (2009).

*Unearthing Corporate Wrongdoing: Detecting and Dealing with Ethical Breaches in the Business World*, 33 U. DAYTON L. REV. 183 (2008).

### **Law Review Book Review Essays**

*Confounding Ockham's Razor: Minilateralism and International Economic Regulation*, 10 BROOK. J. CORP. FIN. & COM. L. 319 (2016).

### **Law Review Tribute Essays**

*Benjamin G. Davis: A Different Drummer*, 52 U. TOL. L. REV. (forthcoming 2021).

### **Other Publications**

*From Law Practice to the Legal Academy: How to Make the Leap*, THE YOUNG LAWYER, Dec. 2011-Jan. 2012, at 4.

*Deposition Techniques & the Socratic Method*, THE LAW TEACHER, Fall 2008, at 11, *reprinted in* TECHNIQUES FOR TEACHING LAW II (Gerry Hess, Michael Schwartz & Sophie Sparrow eds., 2011).

### **WORKS-IN-PROGRESS**

*Cybersecurity and Securities Regulation*

*Collaboration Theory: A Theory of the For-Profit Corporation*

*Tax-Exempt Entities and the Duty of Obedience*

**TEACHING****Courses Taught**

Federal Income Tax  
 Tax-Exempt Organizations  
 Business Associations  
 Business Planning  
 Securities Regulation  
 International Business Transactions  
 International Securities Regulation  
 Contracts (including Sales)  
 Criminal Law  
 Advanced Criminal Law  
 White Collar Crime  
 Values and Ethics  
 Trusts & Estates

**Additional Teaching Interests**

Corporate Tax  
 Gift & Estate Tax  
 Commercial Law (All UCC Subjects)  
 Health Law  
 Professional Responsibility

**SELECTED HONORS, AWARDS, AND GRANTS**

Elected Member, American Law Institute	December 17, 2020
Fellow, American Bar Foundation	December 3, 2020
Recipient, "Shout Out" for Innovative Instructors Campaign, The University of Toledo	November 23, 2020
Professor of the Year, The University of Toledo College of Law	2020
Beth A. Eisler Award for First-Year Teaching, The University of Toledo College of Law	2018
Faculty Scholarship Award, 2018, The University of Toledo College of Law	2018
Professor of the Year, The University of Toledo College of Law	2017
Professor of the Year, The University of Toledo College of Law	2016
Beth A. Eisler Award for First-Year Teaching, The University of Toledo College of Law	2016
Eastman & Smith Ltd. Teaching & Scholarship Grant	2015
Beth A. Eisler Award for First-Year Teaching, The University of Toledo College of Law	2015
Summer Research Grants, The University of Toledo College of Law	2014, 2016, 2017, 2018, 2019, 2020
Dean Francis J. Conte Special Service Award, University of Dayton School of Law	May 19, 2012
Professor of the Year (as voted by the upper-level students), University of Dayton School of Law	2011-12
Professor of the Year (as voted by the first-year students), University of Dayton School of Law	2011-12

Professor of the Year (as voted by the upper-level students), University of Dayton School of Law	2010-11
Professor of the Year (as voted by the upper-level students), University of Dayton School of Law	2009-10
Summer Research Grants, University of Dayton School of Law	2007, 2008, 2009, 2010, 2011, 2012
Learning Teaching Center Research Grant, University of Dayton	May 4, 2009
University Research Fellow, University of Dayton	Spring 2009-Fall 2009
Honorary Induction, Phi Delta Phi International Legal Fraternity	Mar. 12, 2007

### SELECTED SPEAKING ENGAGEMENTS

Panelist, *First Things First: Is Short-Termism the Problem?*, Southeastern Association of Law Schools Conference, Amelia Island, Florida (Aug. 2021).

Panelist, *"Contract Creep": The Legal Treatment of Business Entity Organic Documents as Contracts*, Southeastern Association of Law Schools Conference, Amelia Island, Florida (Aug. 2021).

Panelist, *Insider Trading and Markets*, Southeastern Association of Law Schools Conference, Amelia Island, Florida (Aug. 2021).

Moderator, *Cybersecurity in the Modern Age*, The University of Toledo Law Review Symposium on Emerging National Security Issues, The University of Toledo College of Law (Feb. 18, 2021).

Speaker, *Virtual Regulatory Sandboxes*, International, Comparative, Foreign and Domestic: A Virtual Gathering of Friends, The University of Toledo College of Law (Jan. 22, 2021).

Speaker, *A Theory of the Business Trust*, AALS Section on Trusts & Estates, The Association of American Law Schools Annual Meeting (Jan. 8, 2021).

Commentator, *Emerging Voices in Securities Regulation*, AALS Section on Securities Regulation, The Association of American Law Schools Annual Meeting (Jan. 7, 2021).

Speaker, *Index Funds and ESG Hypocrisy*, George A. Leet Business Law Symposium, Case Western Reserve University School of Law (Nov. 6, 2020).

Panelist, *Current and Evolving Trusts & Estates Scholarship*, Southeastern Association of Law Schools Conference, Fort Lauderdale, Florida (Aug. 4, 2020).

Panelist, *Insider Trading: Is It All about the Money?*, Southeastern Association of Law Schools Conference, Fort Lauderdale, Florida (Aug. 4, 2020).

Presenter, *Formulating a Research Agenda*, National Business Law Scholars Conference - New Scholars Workshop, University of Tennessee College of Law (July 17, 2020).

Moderator & Discussant, *Corporate Criminal Law*, National Business Law Scholars Conference, University of Tennessee College of Law (June 19, 2020).

Moderator & Discussant, *Virtual Currencies*, National Business Law Scholars Conference, University of Tennessee College of Law (June 19, 2020).

Moderator & Discussant, *Private Company Regulation*, National Business Law Scholars Conference, University of Tennessee College of Law (June 18, 2020).

Moderator & Discussant, *Tax and Business Law*, National Business Law Scholars Conference, University of Tennessee College of Law (June 18, 2020).

Commentator, *American Society of Comparative Law Workshop on Comparative Business and Financial Law*, The University of Akron School of Law (Feb. 8, 2020).

Moderator, *The United States Securities and Exchange Commission*, AALS Section on Securities Regulation, The Association of American Law Schools Annual Meeting, Washington, D.C. (Jan. 4, 2020).

Moderator & Commentator, *Emerging Voices in Securities Regulation*, AALS Section on Securities Regulation, The Association of American Law Schools Annual Meeting, Washington, D.C. (Jan. 4, 2020).

Keynote Speaker, *Developing Your Research Agenda*, #FutureLaw Scholars Workshop, Duquesne University School of Law (Nov. 22, 2019).

Speaker, *Schrödinger's Hacker: Insider Trading and Data Breaches*, Insider Trading Stories Symposium, University of Tennessee College of Law (Nov. 15, 2019).

Panelist, *Staying Up with Securities Litigation*, Ohio Securities Conference, Columbus, Ohio (Oct. 25, 2019).

Speaker, *Collaboration Theory and The Business Trust*, Central States Law Schools Association Conference, The University of Toledo College of Law (Sept. 20, 2019).

Panelist, *Insider Trading Stories*, Southeastern Association of Law Schools Conference, Boca Raton, Florida (Aug. 1, 2019).

Panelist, *Social Justice & Transactional Clinical Work*, Southeastern Association of Law Schools Conference, Boca Raton, Florida (July 30, 2019).

Speaker, *A Theory of the Business Trust*, National Business Law Scholars Conference, University of California Berkeley School of Law (June 21, 2019).

Moderator & Discussant, *Business Bankruptcy*, National Business Law Scholars Conference, University of California Berkeley School of Law (June 21, 2019).

Speaker, *Virtual Regulatory Sandboxes*, The Governing Innovation and Emerging Technology in Finance Intensive Writing Workshop and Roundtable, University of California Berkeley School of Law (June 19, 2019).

Commentator, Response to Samuel Weinstein's work-in-progress, *Blockchain & Competition Policy in Financial Services Markets*, The Governing Innovation and Emerging Technology in Finance Intensive Writing Workshop and Roundtable, University of California Berkeley School of Law (June 19, 2019).

Speaker, *Collaboration Theory and the Corporate Form*, Annual Meeting of the Law and Society Association, Washington, D.C. (June 1, 2019).

Panelist, *Supreme Court Round-Up*, The University of Toledo College of Law (Mar. 28, 2019).

Presenter, *A Theory of the Business Trust*, University of Cincinnati Law Review Symposium on The Business Uses of Trusts, The University of Cincinnati College of Law (Mar. 18, 2019).

Moderator, *Blockchain and Cryptocurrencies*, AALS Section on Securities Regulation, The Association of American Law Schools Annual Meeting, New Orleans, Louisiana (Jan. 5, 2019).

Moderator, AALS Scholarly Papers Presentation, The Association of American Law Schools Annual Meeting, New Orleans, Louisiana (Jan. 4, 2019).

Panelist, *Insider Trading and Cryptoassets: The Future of Regulation in the Blockchain Era*, The Association of American Law Schools Annual Meeting, New Orleans, Louisiana (Jan. 3, 2019).

Commentator, *Business Associations Works in Progress Session*, The Association of American Law Schools Annual Meeting, New Orleans, Louisiana (Jan. 3, 2019).

Panelist, *The 2008 Financial Crisis – What's Changed in Ten Years?*, Ohio Securities Conference, Columbus, Ohio (Oct. 26, 2018).

Moderator, *Robo-Advisors and Investment Advice*, The University of Toledo Law Review Symposium on the Role of Technology in Professional Advice, The University of Toledo College of Law (Oct. 12, 2018).



Speaker, *Collaboration Theory: A Theory of the Corporation*, Faculty Speaker Series, University of Nebraska College of Law (Oct. 8, 2018).

Speaker, *The Heavy Burden of Thin Regulation: Lessons Learned from the SEC's Regulation of Cryptocurrencies*, Mercer Law Review Symposium on *Corporate Law in the Trump Era*, Mercer University School of Law (Oct. 5, 2018).

Panelist, *The Role of Corporate Personhood in Masterpiece Cakeshop*, Southeastern Association of Law Schools Conference, Fort Lauderdale, Florida (Aug. 11, 2018).

Panelist, *Alternative Ways of "Going Public"*, Southeastern Association of Law Schools Conference, Fort Lauderdale, Florida (Aug. 10, 2018).

Panelist, *U.S. v. Martoma and the Future of Insider Trading Law*, Southeastern Association of Law Schools Conference, Fort Lauderdale, Florida (Aug. 9, 2018).

Moderator & Discussant, *Contract Law & Business Law*, National Business Law Scholars Conference, The University of Georgia School of Law (June 22, 2018).

Speaker, *Securities Regulation in Virtual Space*, National Business Law Scholars Conference, The University of Georgia School of Law (June 21, 2018).

Moderator & Discussant, *Business Law & Disruptive Technology*, National Business Law Scholars Conference, The University of Georgia School of Law (June 21, 2018).

Moderator & Discussant, *Corporate Crime*, National Business Law Scholars Conference, The University of Georgia School of Law (June 21, 2018).

Discussant & Commentator, *2018 Compliance Roundtable*, Ross School of Business at the University of Michigan (May 4, 2018).

Speaker, *Regulation as Placeholder: Lessons Learned from the Foreign Corrupt Practices Act*, Journal of Regulatory Compliance and the Center for Compliance Studies Symposium, Loyola University Chicago School of Law (Feb. 16, 2018).

Speaker, *Collaboration Theory and Consumer Financial Protection*, Center for Corporate Law and Governance Workshop on Consumer Financial Protection Law, Rutgers Law School (Feb. 3, 2018).

Panelist, *A New Era for Business Regulation?*, The Association of American Law Schools Annual Meeting, San Diego, California (Jan. 6, 2018).

Commentator, *Emerging Voices in Securities Regulation*, The Association of American Law Schools Annual Meeting, San Diego, California (Jan. 4, 2018).

Speaker, *A Call for Legislative Reform: Expanding the Extraterritorial Application of the Private Rights of Action Under Federal Securities Law While Limiting the Scope of Relief Available*, The University of Akron School of Law (Nov. 3, 2017).

Speaker, *Collaboration Theory: A Theory of the Corporation*, Faculty Speaker Series, University of Tennessee College of Law (Nov. 1, 2017).

Panelist, *Current Topics in Securities Offerings*, Ohio Securities Conference, Columbus, Ohio (Oct. 27, 2017).

Speaker, *Securities Regulation and the Roberts Court*, Central States Law Schools Association Conference, Southern Illinois University Law School (Oct. 7, 2017).

Speaker, *Collaboration Theory: A Theory of the Corporation and Its Governance*, Visiting Speakers Series, University of Kentucky College of Law (Oct 3, 2017).

Commentator, Response to Monica Oliveira's work-in-progress, *A Case Study on the Fragility of the International Taxation System*, ASIL Midwest Works-in-Progress Conference, Cleveland-Marshall College of Law (Sept. 15, 2017).

Panelist, *Corporate and Financial Regulation in the Trump Administration*, Southeastern Association of Law Schools Conference, Boca Raton, Florida (Aug. 6, 2017).

Panelist, *Pedagogy and Assessments in Tax Courses*, Southeastern Association of Law Schools Conference, Boca Raton, Florida (Aug. 4, 2017).

Speaker, *The Corporate Form and Corporate Tax Avoidance*, Ohio Legal Scholarship Workshop, The University of Toledo College of Law (June 16, 2017).

Speaker, *Collaboration Theory and Corporate Tax Avoidance*, National Business Law Scholars Conference, University of Utah S.J. Quinney College of Law (June 8, 2017).

Moderator & Discussant, *Hot Topics in Securities Regulation*, National Business Law Scholars Conference, University of Utah S.J. Quinney College of Law (June 8, 2017).

Moderator & Discussant, *Insider Trading Regulation*, National Business Law Scholars Conference, University of Utah S.J. Quinney College of Law (June 8, 2017).

Panelist, *Salman v. United States and the Future of Insider Trading Law*, The Association of American Law Schools Annual Meeting, San Francisco, California (Jan. 6, 2017).

Moderator, *Scholarship and Activism: Writing to Protest and for Social Change*, AALS Section on Scholarship, The Association of American Law Schools Annual Meeting, San Francisco, California (Jan. 4, 2017).

Speaker, *Collaboration Theory: A Theory of the Charitable Tax Exempt Nonprofit Corporation*, Faculty Colloquium, University of Nevada, Las Vegas William S. Boyd School of Law (Oct. 31, 2016).

Panelist, *Complex Securities Products*, Ohio Securities Conference, Columbus, Ohio (Oct. 21, 2016).

Moderator, *Corporate Compliance Under the Foreign Corrupt Practices Act*, The University of Toledo Law Review Symposium on Creating Compliance: Exploring a Maturing Industry, The University of Toledo College of Law (Oct. 7, 2016).

Speaker, *The Theoretical Foundations of Corporate Social Responsibility*, National Business Law Scholars Conference, University of Chicago Law School (June 23, 2016).

Moderator & Discussant, *Antitrust and Regulating Anticompetitive Behavior*, National Business Law Scholars Conference, University of Chicago Law School (June 23, 2016).

Moderator & Discussant, *Business Entities and Political Rights*, National Business Law Scholars Conference, University of Chicago Law School (June 23, 2016).

Moderator & Discussant, *The Intersection of Business and Tax Law* National Business, Law Scholars Conference, University of Chicago Law School (June 23, 2016).

Speaker, *Collaboration Theory: A Theory of the Charitable Tax Exempt Nonprofit Corporation*, Annual Meeting of the Law and Society Association, New Orleans, Louisiana (June 2, 2016).

Speaker, *Exploring Business Investment Regulation Under United States Securities Law*, Northwest University of Politics and Law, Xi'an, China (May 18, 2016).

Speaker, *Exploring Business Investment Regulation Under United States Securities Law*, Zhongnan University of Law and Economics, Wuhan, China (May 14, 2016).

Speaker, *Exploring Business Investment Regulation Under United States Securities Law*, Yanshan University, Qinhuangdao, China (May 11, 2016).

Speaker, *The Theoretical Foundations of Corporate Social Responsibility and the Closely Held Corporation*, University of Cincinnati Law Review Symposium on Corporate Social Responsibility and the Modern Enterprise, The University of Cincinnati College of Law (Mar. 18, 2016).

Panelist, *Sexual Discrimination Panel*, Women's Law Student Association, The University of Toledo College of Law (Oct. 12, 2015).

Speaker, *Collaboration Theory: A Theory of the Charitable Tax Exempt Nonprofit Corporation*, Central States Law Schools Association Conference, The University of Toledo College of Law (Oct. 10, 2015).

Speaker, *Securities Regulation and Cryptocurrencies*, Central States Law Schools Association Conference, The University of Toledo College of Law (Oct. 9, 2015).

Panelist, *Virtual Currency*, Ohio Securities Conference, Columbus, Ohio (Oct. 2, 2015).

Speaker, *Collaboration Theory: A Theory of the Tax-Exempt Nonprofit Corporation*, National Business Law Scholars Conference, Seton Hall University School of Law (June 4-5, 2015).

Moderator & Discussant, *The Extraterritorial Application of Federal Financial Market Regulation*, National Business Law Scholars Conference, Seton Hall University School of Law (June 4-5, 2015).

Moderator & Discussant, *The Intersection of Business Law and Cyber Law*, National Business Law Scholars Conference, Seton Hall University School of Law (June 4-5, 2015).

Speaker, *Answering the Call to Reinvent Legal Education: The Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools*, AALS Section on Agency, Partnership, LLCs, and Unincorporated Associations, The Association of American Law Schools Annual Meeting, Washington, D.C. (Jan. 4, 2015).

Speaker, *Thinking Like a (Business) Lawyer: The Role of Reason and Intuition in Legal Decision-Making*, The University of Findlay (Nov. 3, 2014).

Panelist, *Alternative Investments for the Masses?*, Ohio Securities Conference, Columbus, Ohio (Oct. 31, 2014).

Moderator, *Religious Liberty for Corporations? Hobby Lobby, Obamacare, and the Supreme Court*, Federalist Society Debate, The University of Toledo College of Law (Oct. 22, 2014).

Speaker, *The Death and Rebirth of Codes of Legal Ethics: The Role of Neuroscientific Evidence of Irrational Ethical Decision-Making in Formulating Regulation for the Practice of Law*, Central States Law School Association Conference, Louisiana State University Paul M. Hebert Law Center (Oct. 10, 2014).

- Speaker, *An Interdisciplinary Analysis of the Use of Ethical Intuition in Legal Compliance Decision Making for Business Entities*, National Business Law Scholars Conference, Loyola Law School, Los Angeles (June 19, 2014).
- Speaker, *Legal Ethics and Securities Regulation: Best Practices for Behaving Ethically While Working in the Securities Industry*, Ohio Securities Conference, Columbus, Ohio (Oct. 25, 2013).
- Speaker, *From Legalized Business Ethics to International Trade Regulation: The Role of the Foreign Corrupt Practices Act and Other Transnational Anti-Bribery Regulation in Fighting Corruption in the Global Supply Chain*, Mercer Law Review Symposium on Current Trends in International Trade and Their Impact on Multinational Business, Mercer University School of Law (Oct. 11, 2013).
- Speaker, *Providing a Path Forward in Legal Education: Exploring the Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools*, Central States Law School Association Conference, University of Arkansas School of Law (Oct. 4, 2013).
- Speaker, *Building a Global System of Securities Law: A Proposal for the Harmonization and Centralization of Insider Trading Regulation*, Ohio Legal Scholarship Workshop, University of Dayton School of Law (June 21, 2013).
- Speaker, *The Internationalization of Insider Trading Regulation: The Search for a Coherent Governing Principles*, National Business Law Scholars Conference, The Ohio State University Moritz College of Law (June 12, 2013).
- Moderator & Discussant, *The Past, Present, and Future of Financial Self-Regulatory Institutions*, National Corporate Law Scholars Conference, The Ohio State University Moritz College of Law (June 12, 2013).
- Speaker, *In Search of Coherent Governing Principles for Regulating the Emerging Global Securities Markets: A Comparative Analysis of National Insider Trading Regulation*, Annual Meeting of the Law and Society Association, Boston, Massachusetts (May 30, 2013).
- Speaker, *From Ethics to Economics: The Need for continued Vigilance by Corporate Counsel in Foreign Corrupt Practices Act Compliance*, The Joseph G. Miller and William C. Becker Center for Professional Responsibility Symposium on Navigating the Practice of Law in the Wake of Ethics 20/20, The University of Akron Law School (Apr. 4, 2013).
- Speaker, *Fighting Corruption in Global Financial Markets: The Past, Present, and Future of the Foreign Corrupt Practices Act and Other Transnational Anti-Corruption Laws*, Faculty Colloquium, Elon University School of Law (Feb. 18, 2013).

Speaker, *Answering the Call to Reinvent Legal Education: The Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools*, Ohio Legal Scholarship Workshop, The Ohio State University Moritz College of Law (Feb. 2, 2013).

Speaker, *Transnational Anti-Corruption Regulation and Stable Global Financial Markets: Exploring What Still Needs to Be Done*, Central States Law Schools Association Conference, Cleveland-Marshall College of Law (Oct. 20, 2012).

Panelist, *JOBS Act Compliance: Crowdfunding and Rule 506 Reform*, Ohio Securities Conference, Columbus, Ohio (Oct. 12, 2012).

Speaker, *The Importance of the Foreign Corrupt Practices Act and Other Transnational Anti-Corruption Laws in Creating Stable Global Securities Markets*, Faculty Colloquium, The University of Toledo College of Law (Oct. 10, 2012).

Speaker, *The Importance of the Foreign Corrupt Practices Act and Other Transnational Anti-Corruption Laws in Creating Stable Global Securities Markets*, National Business Law Scholars Conference, University of Cincinnati College of Law (June 28, 2012).

Moderator & Discussant, *Defining Ethical Behavior within Business Entities*, University of Cincinnati College of Law (June 28, 2012).

Speaker, *The Increasing Importance of the Foreign Corrupt Practices Act and Other Transnational Anti-Corruption Laws in the Shadow of the Great Recession*, Ohio Legal Scholarship Workshop, West Virginia College of Law (June 14, 2012).

Commentator, Response to Will Rhee's work-in-progress, *Adversarial Coding of Federal Court Cases: How Expanding Party Coding Can Transform the Empirical Study of Federal Courts*, Ohio Legal Scholarship Workshop, West Virginia College of Law (June 14, 2012).

Speaker, *The Past, Present, and Future of the International Organization of Securities Commissions: What Should IOSCO's Role be in Combating Transnational Corruption?*, 2012 International Conference on Law and Society, Honolulu, Hawai'i (June 8, 2012).

Speaker, *Training the Lawyers of Tomorrow: The Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools*, Capital University Law School (Apr. 10, 2012).

- Speaker, *The Role of the Foreign Corrupt Practices Act and Other Transnational Anti-Corruption Laws in Preventing or Lessening Future Financial Crises*, Ohio State Law Journal Symposium on the Foreign Corrupt Practices Act at 35 and Its Impact on Global Business, The Ohio State University Moritz College of Law (Mar. 16, 2012).
- Speaker, *Informatics and Securities Regulation: Exploring the Role of Securities Law on the Internet and within Virtual Worlds*, Northern Kentucky Law Review Law & Informatics Symposium, Northern Kentucky University Salmon P. Chase College of Law (Mar. 2, 2012).
- Speaker, *Two Tales of "Publicness," Exploring Why Certain Companies are "Public" Companies under Federal Securities Law and Others Are Not*, Ohio Legal Scholarship Workshop, Capital University Law School (Feb. 25, 2012).
- Commentator, Response to Fenner L. Stewart, Jr.'s work-in-progress, *Indeterminacy and Balance: A Path to a Wholesome Corporate Law*, Ohio Legal Scholarship Workshop, Capital University Law School (Feb. 25, 2012).
- Commentator, Response to Donald C. Langevoort and Robert B. Thompson's work-in-progress, *"Publicness" in Contemporary Securities Regulation*, Scholarly Roundtable, Georgetown University Law Center (Feb. 3, 2012).
- Speaker, *Regulating On-line Peer-to-Peer Lending in the Aftermath of Dodd-Frank: In Search of an Evolving Regulatory Regime for an Evolving Industry*, AALS Section on Financial Institutions and Consumer Financial Services, The Association of American Law Schools Annual Meeting, Washington, D.C. (Jan. 8, 2012).
- Speaker, *Regulating On-line Peer-to-Peer Lending in the Aftermath of Dodd-Frank: In Search of an Evolving Regulatory Regime for an Evolving Industry*, Washington and Lee Law Review Symposium on Regulation in the Fringe Economy, Washington and Lee University School of Law (Nov. 11, 2011).
- Speaker, *The Dodd-Frank Wall Street Reform and Consumer Protection Act: A Failed Vision for Increasing Consumer Protection and Heightening Corporate Responsibility in International Financial Transactions* Faculty Colloquium, Mercer University School of Law (Nov. 9, 2011).
- Speaker, *Lessons from Metaethics, Cognitive Neuroscience, Moral Psychology, and Behavioral Economics: The Use of Ethical Intuitionism in Business Leadership and Legal Compliance*, Texas Wesleyan Law Review Business Leadership Symposium, Texas Wesleyan School of Law (Nov. 4, 2011).

- Speaker, *International Financial Regulation in the Wake of Dodd-Frank: A Missed Opportunity for Leadership by the United States in International Coordination*, Central States Law Schools Association Conference, The University of Toledo College of Law (Oct. 29, 2011).
- Speaker, *The Dodd-Frank Wall Street Reform and Consumer Protection Act: A Failed Vision for Increasing Consumer Protection and Heightening Corporate Responsibility in International Financial Transactions* 2011 Ohio Securities Conference, Columbus, Ohio (Oct. 21, 2011).
- Speaker, *An Interdisciplinary Exploration of the Role of Intuition in Human Decision-Making and Its Application to the Practice of Law: A Dual-Process Model for Making Legal Compliance Decisions in the Business World*, Faculty Colloquium Series, The University of Akron Law School (Sept. 21, 2011).
- Moderator, *Government Contracting: The Ins and Outs of Small Business Programs, Contract Compliance and Government Investigations*, Association of Corporate Counsel Conference, University of Dayton School of Law (Sept. 14, 2011).
- Speaker, *Securities Regulation in Cyberspace: The Application of United States Federal Securities Law to Virtual Worlds*, 11th Annual Intellectual Property Scholars Conference, DePaul University College of Law (Aug. 11, 2011).
- Speaker, *New Frontiers in Securities Regulation: Creating a Coherent Regulatory Scheme for Securities Transactions in Virtual Worlds*, Ohio Legal Scholarship Workshop, Cleveland-Marshall College of Law (June 23, 2011).
- Speaker, *The Dodd-Frank Wall Street Reform and Consumer Protection Act: A Failed Vision for International Financial Regulatory Reform*, Midwest Corporate Law Scholars Conference, The Ohio State University Moritz College of Law (June 15, 2011).
- Moderator & Discussant, *Current Topics in Comparative and International Business Regulation*, Midwest Corporate Law Scholars Conference, The Ohio State University Moritz College of Law (June 15, 2011).
- Speaker, *Securities Law in Cyberspace: The Regulation of Securities Markets in Virtual Societies*, Annual Meeting of the Law and Society Association, San Francisco, California (June 3, 2011).
- Moderator & Discussant, *E-Life: Legal Complexities of the Electronic Media World*, Annual Meeting of the Law and Society Association, San Francisco, California (June 3, 2011).
- Speaker, *Land Use Planning and Indigenous Rights: A Critical Analysis of the Alaska Native Claims Settlement Act*, Planning, Law, and Property Rights International Conference, University of Alberta, Faculty of Law (May 27, 2011).



Speaker, *Promises Yet to Be Fulfilled: The Missing International Component of Financial Regulatory Reform*, Osgoode Graduate Law Students Association Conference: "No Boundaries: Transnational Law and a New Order of Global Governance," Osgoode Hall Law School, York University (May 10, 2011).

Presenter, *A Transactional Skills Curriculum for a New Century: The Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools*, Future Ed 3: Making Global Lawyers for the 21st Century, New York Law School (Apr. 15, 2011).

Speaker, *Securities Law in Virtual Worlds: The Intersection of Video Games, Business, Regulation, and Society*, Conference on The Game Behind the Video Game: Business, Regulation, and Society in the Gaming Industry, Rutgers School of Communication and Information (Apr. 9, 2011).

Speaker, *Forty Years of the Alaska Native Claims Settlement Act: A Critical Reexamination of the Relationship Between Business Organizations and Tribal Sovereignty*, Association for Law, Property, and Society Annual Meeting, Georgetown University Law Center (Mar. 5, 2011).

Speaker, *Person-to-Person Lending Regulation: Answering the Call of the Dodd-Frank Wall Street Reform and Consumer Protection Act*, American University Law Review Symposium on Financial Regulatory Reform, American University Washington College of Law (Mar. 3, 2011).

Speaker, *Globalization and Securities Law: A Comparative Analysis of Insider Trading Regulation*, The Thomas Feeney Memorial Lecture, University of Ottawa, Faculty of Law, Common Law Section (Feb. 28, 2011).

Speaker, *Exploring the Contractual Foundations of Employment Law and Business Law: Resolving What Fiduciary Duties Are Owed to Shareholder Employees*, International Conference on Contracts, Stetson University College of Law (Feb. 19, 2011).

Speaker, *Financial Regulation in Cyberspace: Creating a Comprehensive Regulatory System for Person-to-Person Lending*, Ohio Legal Scholarship Workshop, Capital University Law School (Feb. 5, 2011).

Commentator, Response to Lynn Bai's work-in-progress, *The Performance Disclosures of Credit Rating Agencies: Are They Effective Reputational Sanctions?*, Ohio Legal Scholarship Workshop, Capital University Law School (Feb. 5, 2011).

Moderator, *Ethical and Legal Dilemmas Involving the Use of Guardians Ad Litem in Litigation*, Honorable James J. Gilvary Symposium on Law, Religion & Social Justice, University of Dayton School of Law (Jan. 21, 2011).

- Speaker, *"A Riddle, Wrapped in a Mystery, Inside an Enigma": Peer-to-Peer Lending and the Complex Questions of Its Benefits, Harms, and How to Regulate It*, 2010 Ohio Securities Conference, Columbus, Ohio (Oct. 22, 2010).
- Presenter, *A Transactional Skills Curriculum for a New Century: The Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools*, Future Ed 2: Making Global Lawyers for the 21st Century, Harvard Law School (Oct. 16, 2010).
- Presenter, *A Time of Transition: The Need for Capstone Courses in American Legal Education*, Future Ed 2: Making Global Lawyers for the 21st Century, Harvard Law School (Oct. 16, 2010).
- Speaker, *Building Legal Norms from Society's Intuitions about Justice: The Role of Ethical Intuitionism in Legal Compliance in the Business World*, Midwest Law and Society Retreat, University of Wisconsin Law School (Oct. 9, 2010).
- Speaker, *Preventing Transnational Financial Corruption: The Need for Harmonization and Centralization of International Securities Law*, Centre for Transnational Law and Justice Conference on Preventing Global Corruption, University of Windsor Faculty of Law (Oct. 2, 2010).
- Speaker, *Using Contract Law to Resolve What Duties Are Owed to Equity Holder Employees: An Analysis of How Equity Ownership Should Effect Employment-At-Will Status*, Colloquium on Current Scholarship in Labor and Employment Law, Washington University School of Law & St. Louis University School of Law (Sept. 24, 2010).
- Speaker, *The International Response to the Financial Crisis that Began in 2008: A Comparative Analysis of National Perspectives on Financial Regulatory Reform*, University of New York in Prague, Prague, Czech Republic (Aug. 12, 2010).
- Speaker, *Competing Views of Financial Regulatory Reform: A Comparative Analysis of the United States and Germany's Proposed Regulatory Response to the Financial Crisis that Began in 2008*, Club International, Leipzig, Germany (July 28, 2010).
- Speaker, *Finishing the Race to the Bottom: An Argument for the Harmonization and Centralization of International Securities Law*, 7<sup>th</sup> Annual International Conference on Law, Athens, Greece (July 19, 2010).
- Speaker, *In Search of a Coherent Governing Principle: The Convergence of National and International Regulation of Insider Trading*, Ohio Legal Scholarship Workshop, The University of Toledo College of Law (June 25, 2010).

Commentator, Response to Jenny Carroll's work-in-progress, *Of Rebels, Rogues and Roustabouts: The Jury's Second Coming*, Ohio Legal Scholarship Workshop, The University of Toledo College of Law (June 25, 2010).

Speaker, *Evolution, Not Revolution, in International Securities Regulation: A Modest Proposal for a Global Securities and Exchange Commission*, Midwest Corporate Law Scholars Conference, University of Cincinnati College of Law (June 22, 2010).

Speaker, *A Moment of Opportunity: Reimagining International Securities Regulation in the Shadow of Financial Crisis*, Annual Meeting of the Law and Society Association, Chicago, Illinois (May 29, 2010).

Speaker, *Metaethics, Neuroscience, Moral Psychology, and Behavioral Economics: Ethical Intuitionism and Legal Compliance in the Business World*, Association of the Study of Law, Culture and the Humanities Conference, Brown University (Mar. 20, 2010).

Speaker, *Contemplating the Endgame: An Evolutionary Model for the Harmonization and Centralization of International Securities Regulation*, University of Cincinnati Law Review Symposium on the Globalization of Securities Regulation, University of Cincinnati College of Law (Mar. 5, 2010).

Speaker, *The Internationalization of Securities Regulation: The United States Government's Role in Regulating the Global Capital Markets*, Ohio Legal Scholarship Workshop, Capital University Law School (Feb. 20, 2010).

Speaker, *Finishing the Race to the Bottom: An Argument for the Harmonization and Centralization of International Securities Law*, Seton Hall Law Review Symposium on Securities Regulation and the Global Economic Crisis, Seton Hall University School of Law (Oct. 30, 2009).

Speaker, *A Moment of Opportunity: Reimagining International Securities Regulation in the Shadow of Financial Crisis*, Central States Law Schools Association Conference, Capital University Law School (Oct. 24, 2009).

Speaker, *Finishing the Race to the Bottom: An Argument in Favor of a Global Securities and Exchange Commission*, Ohio Legal Scholarship Workshop, Ohio Northern University Pettit College of Law (June 26, 2009).

Commentator, Response to Stephanie Hunter McMahon's work-in-progress, *Great Britain: From Marriage Penalty To What?*, Ohio Legal Scholarship Workshop, Ohio Northern University Pettit College of Law (June 26, 2009).

Speaker, *Sidestepping the Corporate Veil: The Use of Civil RICO to Circumvent the Limited Liability Shield Between Parents and Subsidiaries*, Ohio Legal Scholarship Workshop, The Ohio State University Moritz College of Law (Jan. 24, 2009).

Speaker, *Standing Under Section 10(b) and Rule 10b-5: The Continued Validity of the Forced Seller Exception to the Purchaser-Seller Requirement*, Center for Business Law & Regulation Colloquium, Case Western Reserve University School of Law (Oct. 31, 2008).

Speaker, *Standing Under Section 10(b) and Rule 10b-5: The Continued Validity of the Forced Seller Exception to the Purchaser-Seller Requirement*, Central States Law Schools Association Conference, Southern Illinois University Law School (Oct. 25, 2008).

Speaker, *Metaethics, Neuroscience, and Behavioral Economics: Ethical Intuitionism and Legal Compliance in the Business World*, Faculty Colloquium, Case Western Reserve University School of Law (Oct. 17, 2008).

Moderator, *Defending Non-citizens in Criminal Trials*, Honorable James J. Gilvary Symposium on Law, Religion & Social Justice, University of Dayton School of Law (Feb. 28, 2008).

Speaker, *Ethical Intuitionism and Legal Compliance in the Business World*, Ohio Legal Scholarship Workshop, The Ohio State University Moritz College of Law (Jan. 26, 2008).

Speaker, *Unearthing Corporate Wrongdoing: Detecting and Dealing with Ethical Breaches in the New York Business World*, Project for Law and Business Ethics Symposium, University of Dayton School of Law (Oct. 25, 2007).

Speaker, *Business Organizations and Tribal Self-Determination: A Critical Reexamination of the Alaska Native Claims Settlement Act*, Ohio Legal Scholarship Workshop, Capital University Law School (June 23, 2007).

Speaker, *Business Organizations and Tribal Sovereignty: A Critical Reexamination of the Alaska Native Claims Settlement Act*, Faculty Colloquium, University of Dayton School of Law (Dec. 13, 2006).

#### **SYMPOSIA, CONFERENCES & WORKSHOPS ORGANIZED & HOSTED**

Co-Chair & Co-Founder (with Afra Afsharipour Anthony J. Casey, Steven Davidoff Solomon, Joan MacLeod Heminway, Kristin N. Johnson, Elizabeth Pollman, Jeff Schwartz & Megan Wischmeier Shaner), University of Tennessee College of Law (June 17-18, 2021).

- Co-Organizer (with The University of Toledo Law Review), *Emerging National Security Issues*, The University of Toledo Law Review Symposium, The University of Toledo College of Law (Feb. 19, 2021).
- Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference (Oct. 16, 2020).
- Co-Chair & Co-Founder (with Afra Afsharipour Anthony J. Casey, Steven Davidoff Solomon, Joan MacLeod Heminway, Kristin N. Johnson, Elizabeth Pollman, Jeff Schwartz & Megan Wischmeier Shaner), National Business Law Scholars Conference – New Scholars Workshop (July 17, 2020).
- Co-Chair & Co-Founder (with Afra Afsharipour Anthony J. Casey, Steven Davidoff Solomon, Joan MacLeod Heminway, Kristin N. Johnson, Elizabeth Pollman, Jeff Schwartz & Megan Wischmeier Shaner), National Business Law Scholars Conference (June 18-19, 2020).
- Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 25, 2019).
- Co-Organizer (with The University of Toledo Law Review), *Fighting Back: A Legal Framework for Defeating the Opioid Crisis*, The University of Toledo Law Review Symposium, The University of Toledo College of Law (Oct. 11, 2019).
- Co-Chair & Co-Founder (with Anthony J. Casey, Steven Davidoff Solomon, Joan MacLeod Heminway, Kristin N. Johnson, Elizabeth Pollman, & Jeff Schwartz), National Business Law Scholars Conference, U.C. Berkeley School of Law (June 20-21, 2019).
- Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 26, 2018).
- Co-Organizer (with The University of Toledo Law Review), *The Role of Technology in Professional Advice*, The University of Toledo Law Review Symposium, The University of Toledo College of Law (Oct. 12, 2018).
- Co-Chair & Co-Founder (with Anthony J. Casey, Steven Davidoff Solomon, Joan MacLeod Heminway, Kristin N. Johnson, Elizabeth Pollman, Margaret V. Sach & Jeff Schwartz), National Business Law Scholars Conference, The University of Georgia School of Law (June 21-22, 2018).
- Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 27, 2017).

- Co-Chair & Co-Founder (with Anthony J. Casey, Steven Davidoff Solomon, Joan MacLeod Heminway, Kristin N. Johnson, Elizabeth Pollman, Margaret V. Sach & Jeff Schwartz), National Business Law Scholars Conference, University of Utah S.J. Quinney College of Law (June 8-9, 2017).
- Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 21, 2016).
- Co-Organizer (with The University of Toledo Law Review), *Creating Compliance: Exploring a Maturing Industry*, The University of Toledo Law Review Symposium, The University of Toledo College of Law (Oct. 7, 2016).
- Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 21, 2016).
- Co-Chair & Co-Founder (with Anthony J. Casey, Steven Davidoff Solomon, Joan MacLeod Heminway, Kristin N. Johnson, Elizabeth Pollman, Margaret V. Sach & Jeff Schwartz), National Business Law Scholars Conference, University of Chicago Law School (June 23-24, 2016).
- Host & President, Central States Law School Association Conference, The University of Toledo College of Law (Oct. 9-10, 2015).
- Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 2, 2015).
- Co-Chair & Co-Founder (with Barbara Black, Steven M. Davidoff, Kristin N. Johnson, Elizabeth Pollman & Margaret V. Sach), National Business Law Scholars Conference, Seton Hall University School of Law (June 4-5, 2015).
- Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 31, 2014).
- Executive Board & Planning Committee, Central States Law School Association Conference, Paul M. Hebert Law Center Louisiana State University (Oct. 10-11, 2014).
- Co-Chair & Co-Founder (with Barbara Black, Steven M. Davidoff, Kristin N. Johnson, Elizabeth Pollman & Margaret V. Sachs), National Business Law Scholars Conference, Loyola Law School, Los Angeles (June 19-20, 2014).
- Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 25, 2013).

Executive Board & Planning Committee, Central States Law School Association Conference, University of Arkansas School of Law (Oct. 4-5, 2013).

Chair & Organizer, Ohio Legal Scholarship Workshop, University of Dayton School of Law (June 21, 2013).

Co-Chair & Co-Founder (with Barbara Black & Steven Davidoff), National Business Law Scholars Conference, The Ohio State University Moritz College of Law (June 12-13, 2013).

Co-Chair & Co-Founder (with Barbara Black & Steven Davidoff), National Business Law Scholars Conference, University of Cincinnati College of Law (June 27-28, 2012).

Co-Chair & Co-Founder (with Barbara Black & Steven Davidoff), Midwest Corporate Law Scholars Conference, The Ohio State University Moritz College of Law (June 15, 2011).

Chair & Organizer, *Perspectives on Gender and Business Ethics: Women in Corporate Governance*, Project for Law and Business Ethics Symposium, University of Dayton School of Law (Feb. 25, 2011).

Co-Chair & Co-Organizer (with the American Constitution Society), *The Innocence Project: The Exoneration of Raymond Towler*, University of Dayton School of Law (Sept. 22, 2010).

Co-Chair & Co-Founder (with Barbara Black & Steven Davidoff), Midwest Corporate Law Scholars Conference, University of Cincinnati College of Law (June 22, 2010).

Co-Chair & Co-Organizer (with Victoria VanZandt), *The Fallout from the Bailout: The Impact of the 2008 Bailout on Lending Regulation, Securities Regulation, and Business Ethics*, Project for Law and Business Ethics Symposium, University of Dayton School of Law (Mar. 20, 2009).

Co-Chair & Co-Organizer (with Jeannette Cox), Ohio Legal Scholarship Workshop, University of Dayton School of Law (June 20, 2008).

Chair & Organizer, *Unearthing Corporate Wrongdoing: Detecting and Dealing with Ethical Breaches in the Business World*, Project for Law and Business Ethics Symposium, University of Dayton School of Law (Oct. 25, 2007).

**OTHER ACADEMIC APPOINTMENTS**

**University of Szeged**, Szeged, Hungary February 2014-March 2014; March 2016; March 2018  
Visiting Professor

**University of Silesia**, Katowice, Poland November 2017  
Visiting Professor

**University of Dayton School of Law**, Dayton, OH August 2006-May 2013  
Professor (2012-13); Associate Professor (2009-12); Assistant Professor (2006-09)  
Chair, Project for Law and Business Ethics (2007-13)  
Director of Faculty Research (2012-13)

**DePaul College of Law**, Prague, Czech Republic July 2010-August 2010  
Visiting Associate Professor, Study Abroad Program

**Case Western Reserve University School of Law**, Cleveland, OH July 2008-December 2008  
Visiting Assistant Professor

**LEGAL PRACTICE EXPERIENCE**

**Jones Day**, Cleveland, OH Summer 2001, August 2002-August 2006  
Associate

- Represented clients in bankruptcy, corporate litigation, intellectual property, and criminal cases.
- Participated in all phases of litigation with an emphasis on trial preparation and discovery.
- Engaged in business counseling relating to governance, internal controls, and fraud prevention.

**Custody and Support Assistance Clinic, Inc.**, Philadelphia, PA September 2000-May 2002  
Board Member and Student Supervisor

- Managed a caseload of twenty cases.
- Drafted legal pleadings and engaged in case planning.
- Participated in numerous custody and child support cases.

**Western Ohio Legal Services Association**, Xenia, OH May 2000-August 2000  
Law Clerk

- Managed a caseload of forty-five cases.
- Drafted legal pleadings and researched complex legal issues.
- Participated in various domestic violence, divorce, custody, child support, and probate cases.



**COMMUNITY SERVICE**

**SEC Historical Society**, Washington, DC

Board of Advisors

April 2020- Present

Member, Corporate Disclosure Gallery Working Group

December 2019-Present

**Cleveland Association of Phi Beta Kappa**, Cleveland, OH

September 2003-May 2011

President (2008-2010), Vice President (2006-2008), Treasurer 2004-2006), Trustee (2003-2011)

Administer scholarship competitions to students throughout the Cleveland area.

Engage in strategic planning, fundraising, and event development.

Promote and strengthen Phi Beta Kappa's ties to northeast Ohio.

**Martin Luther King, Jr. School for Law & Municipal Careers**, Cleveland, OH

May 2006-January 2008

Law Academy Board Member

Developed and implemented the educational curriculum for the Academy.

Promoted and strengthened the Academy's ties to the Cleveland community.

Established educational goals in a manner consistent with student and community needs.

**Domestic Violence Center**, Cleveland, OH

July 2004-September 2006

Board Member and Governance Committee Chair

Provided guidance and consultation on corporate governance issues.

Participated in the management of a multi-million dollar, non-profit corporation.

Engaged in strategic planning and event development.

**United Way - Health and Caring Investment Committee**, Cleveland, OH

January 2005-September 2006

Member

Mapped and analyzed the health and human services needs of the Cleveland community.

Identified and implemented strategies for improving health services in Cleveland.

Determined the eligibility of non-profit corporations for United Way funding and support.

**Martin Luther King, Jr. School for Law & Municipal Careers**, Cleveland, OH

March 2004-June 2006

Mock Trial Coach

Instructed and advised students regarding effective trial skills and strategies.

Developed activities and exercises to improve courtroom performance.

Promoted awareness and appreciation of the legal profession.

**United Way**, Cleveland, OH

August 2002-November 2002

Loaned Executive

Presented information regarding the United Way to audiences of 20 to 80 people.

Organized and supervised 70 United Way workplace campaigns.

Interacted with numerous non-profit corporations throughout the Cleveland community.

**PROFESSIONAL ORGANIZATIONS**

**American Law Institute (Elected Member)**

Member, Members Consultative Group, Restatement of the Law - Corporate Governance  
(December 2020-Present)

Member, Members Consultative Group, Restatement of the Law - Consumer Contracts  
(December 2020-Present)

Member, Members Consultative Group, Uniform Commercial Code (December 2020 – Present)

**Association of American Law Schools**

AALS Reporter, ABA-AALS Site Visit: Widener University - Delaware Law School (Spring 2021)

AALS Reporter, ABA-AALS Site Visit: New England Law School – Boston (Fall 2017)

Executive Committee, Section on Transactional Law and Skills  
(Chair-Elect, January 2021-Present) (January 2020-Present)

Executive Committee, Section on Agency, Partnerships, LLCs, and Unincorporated Associations  
(Secretary, January 2021-Present) (January 2020-Present) (January 2013-January 2014)

Executive Committee, Section on Securities Regulation  
(Chair, January 2019-January 2020) (January 2017-January 2019)

Scholarly Papers Committee for the 2019 Annual Meeting  
(Chair, January 2018-January 2019) (Member, January 2019-Present)

Executive Committee, Section on Scholarship  
(Chair, January 2016-January 2017) (January 2013-January 2017)

Executive Committee, Section on Nonprofit & Philanthropy Law (January 2021-Present)

Executive Committee, Section on Business Associations (January 2021-Present) (January 2017-January 2019)

Executive Committee, Section on Internet & Computer Law (January 2013-January 2015)

**American Bar Association**

ABA Site Team Member, ABA-AALS Site Visit: Widener University - Delaware Law School (Spring 2021)

ABA Site Team Member, ABA-AALS Site Visit: New England Law School – Boston (Fall 2017)

Business Law Section  
Federal Regulation of Securities Committee  
State Regulation of Securities Committee

Criminal Law Section

**Central States Law School Association**

President (October 2014-October 2015)

Vice President (October 2013-October 2014)

Secretary (October 2012-October 2013)

**Indiana University Program on Cybersecurity and Internet Governance**

Affiliate (November 2019-Present)

**Ohio State Bar Association**

**Toledo Bar Association**

**UNIVERSITY AND LAW SCHOOL SERVICE****The University of Toledo College of Law**

Moot Court, Adviser	Fall 2020-Present
Fornoff Moot Court Competition, Adviser	Fall 2014-Present
Assessment, Long-Term Planning, Budget & Curriculum Committee (Chair, Spring 2019)	Fall 2016-Present
JD/MBA Program, Adviser	Fall 2013-Present
Renewal, Promotion & Tenure Committee	Fall 2015; Fall 2020-Present
COVID-19 Planning Committee	Fall 2020-Present
Self-Study & Site Visit Committee	Fall 2020-Present
Academic Policy Committee	Fall 2013-Spring 2014 Spring 2019-Spring 2019
Admissions Committee	Spring 2018-Spring 2018
Budget Committee (Chair, Fall 2013-Spring 2014)	Fall 2013-Spring 2015
Career Services Committee (Chair, Fall 2019-Spring 2020)	Fall 2019-Spring 2020
Compensation Committee (Chair, Fall 2017-Spring 2018)	Spring 2016-Spring 2018
Criminal Law Moot Court Team, Adviser	Fall 2019-Spring 2020
Curriculum Committee (Chair, Fall 2015)	Fall 2013-Fall 2015
Disciplinary Committee	Fall 2016-Spring 2017
Rules Committee	Fall 2019-Spring 2020
Strategic Planning Committee, Chair	Fall 2014-Spring 2015

**The University of Toledo**

Academic Honors Committee	Fall 2020-Present
Faculty Senate, Senator Committee on Elections	Fall 2020-Present Fall 2014-Spring 2018; Fall 2020-Present
University Assessment Committee	Spring 2016-Spring 2017
Committee on Faculty Affairs, Chair	Fall 2013-Spring 2015

**University of Dayton School of Law**

Law Review, Adviser	Spring 2009-Spring 2013
Moot Court, Adviser	Fall 2012-Spring 2013
Library Committee	Spring 2012-Spring 2013
Colloquium Committee, Chair	Fall 2009-Spring 2012
Faculty Recruitment and Development Committee	Spring 2009-Spring 2010
Dean's Faculty Advisory Committee	Fall 2009-Spring 2010; Fall 2011-Spring 2012
Legal Profession Hiring Committee	Fall 2009-Spring 2010

Pro Bono and Social Justice Committee  
Tradition Committee

Fall 2006-Spring 2008  
Spring 2007-Spring 2008

## EDUCATION

### University of Pennsylvania Law School

Juris Doctor, May 2002

Honors: Managing Editor, *Journal of Constitutional Law*  
Pro Bono Award  
Activities: Founder, Penn Law Immigration Clinic  
Editor-in-Chief, *Penn Law Forum: Independent Student Newspaper*

### The Ohio State University

Bachelor of Arts, English and Philosophy, *summa cum laude*, June 1999

Honors: Phi Beta Kappa, Diploma *with Honors* and *with Distinction*,  
The Arts and Sciences Award for Excellence in Scholarship  
Thesis: *Melancholia, Gender, and Morbidity: The Twin Psychologies of Prince Hamlet and Quentin Compson*

## BAR ADMISSIONS

State Bar of Ohio 2002  
United States District Court for the Northern District of Ohio 2003  
United States Court of Appeals for the Sixth Circuit 2005