

ERIC C. CHAFFEE
Professor of Law
The University of Toledo College of Law
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Toledo, Ohio 43606

CURRENT ACADEMIC APPOINTMENT

The University of Toledo College of Law, Toledo, OH

August 2013-Present

Professor of Law

Associate Dean of Faculty Research and Development (July 2015-June 2017)

SCHOLARSHIP

Books

SECURITIES REGULATION IN CYBERSPACE (4th ed. 2018) (with Howard M. Friedman) (loose-leaf treatise with annual updates).

GLOBAL ISSUES IN SECURITIES LAW (2013) (with Frank Gevurtz & Marc Steinberg).

Law Review Articles & Essays

Collaboration Theory and Corporate Tax Avoidance, 76 WASH. & LEE L. REV. (forthcoming 2019).

Corporate Tax Avoidance and Honoring the Fiduciary Duties Owed to the Corporation and Its Stockholders, 58 BOSTON COLLEGE L. REV. 1425 (2017) (with Karie Davis-Nozemack).

A Call for Legislative Reform: Expanding the Extraterritorial Application of the Private Rights of Action Under Federal Securities Law While Limiting the Scope of Relief Available, 22 STANFORD J.L. BUS. & FIN. 1 (2017).

Securities Regulation in Virtual Space, 74 WASH. & LEE L. REV. 1387 (2017) (reprinted in the SECURITIES LAW REVIEW 2018).

The Supreme Court as Museum Curator: Securities Regulation and the Roberts Court, 67 CASE W. RES. L. REV. 847 (2017) (invited symposium).

The Origins of Corporate Social Responsibility, 85 U. CINCINNATI L. REV. 353 (2017) (invited symposium) (featured on the HARVARD LAW SCHOOL FORUM ON CORPORATE GOVERNANCE AND FINANCIAL REGULATION).

Collaboration Theory: A Theory of the Charitable Tax Exempt Nonprofit Corporation, 49 U.C. DAVIS L. REV. 1719 (2016).

An Interdisciplinary Analysis of the Use of Ethical Intuition in Legal Compliance Decision Making for Business Entities, 74 MARYLAND L. REV. 497 (2015).

The Death and Rebirth of Codes of Legal Ethics: How Neuroscientific Evidence of Intuition and Emotion in Moral Decision Making Should Impact the Regulation of the Practice of Law, 28 GEORGETOWN J. LEGAL ETHICS 323 (2015).

Answering the Call to Reinvent Legal Education: The Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools, 20 STANFORD J.L. BUS. & FIN. 121 (2015).

An Oak is an Oak Is an Oak Is an Oak: The Disappointing Entrenchment in Halliburton Co. v. Erica P. John Fund of the Implied Private Right of Action Under Section 10(b) and Rule 10b-5, Inc., 9 N.Y.U. J.L. & LIBERTY 92 (2015) (invited).

From Legalized Business Ethics to International Trade Regulation: The Role of the Foreign Corrupt Practices Act and Other Transnational Anti-Bribery Regulations in Fighting Corruption in the International Trade, 65 MERCER L. REV. 701 (2014) (invited symposium).

The Role of the Foreign Corrupt Practices Act and Other Transnational Anti-Corruption Laws in Preventing or Lessening Future Financial Crises, 73 OHIO ST. L.J. 1283 (2012) (invited symposium).

Regulating On-line Peer-to-Peer Lending in the Aftermath of Dodd-Frank: In Search of an Evolving Regulatory Regime for an Evolving Industry, 69 WASH. & LEE L. REV. 485 (2012) (with Geoffrey C. Rapp).

The Dodd-Frank Wall Street Reform and Consumer Protection Act: A Failed Vision for Increasing Consumer Protection and Heightening Corporate Responsibility in International Financial Transactions, 60 AMERICAN U. L. REV. 1431 (2011) (invited symposium).

Contemplating the Endgame: An Evolutionary Model for the Harmonization and Centralization of International Securities Regulation, 79 U. CINCINNATI L. REV. 587 (2010) (invited symposium).

Finishing the Race to the Bottom: An Argument for the Harmonization and Centralization of International Securities Law, 40 SETON HALL L. REV. 1581 (2010) (invited symposium).

The Internationalization of Securities Regulation: The United States Government's Role in Regulating the Global Capital Markets, 5 J. BUS. & TECH. L. 187 (2010) (invited).

A Moment of Opportunity: Reimagining International Securities Regulation in the Shadow of Financial Crisis, 15 NEXUS 29 (2010) (invited).

Standing Under Section 10(b) and Rule 10b-5: The Continued Validity of the Forced Seller Exception to the Purchaser-Seller Requirement, 11 U. PENN. J. BUS. L. 843 (2009).

Business Organizations and Tribal Self-Determination: A Critical Reexamination of the Alaska Native Claims Settlement Act, 25 DUKE - ALASKA L. REV. 107 (2008).

Beyond Blue Chip: Issuer Standing to Seek Injunctive Relief Under Section 10(b) and Rule 10b-5 Without the Purchase or Sale of a Security, 36 SETON HALL L. REV. 1135 (2006).

Sailing Toward Safe Harbor Hours: The Constitutionality of Regulating Television Violence, 39 U. MICH. J.L. REFORM 1 (2005).

Law Review Symposium Introductions

Creating Compliance: Exploring a Maturing Industry, 48 U. TOL. L. REV. 429 (2017).

Perspectives on Gender and Business Ethics: Women in Corporate Governance, 37 U. DAYTON L. REV. 1 (2011).

A Panoramic View of the Financial Crisis that Began in 2008: The Need for Domestic and International Regulatory Reform, 35 U. DAYTON L. REV. 1 (2009).

Unearthing Corporate Wrongdoing: Detecting and Dealing with Ethical Breaches in the Business World, 33 U. DAYTON L. REV. 183 (2008).

Law Review Book Review Essays

Confounding Ockham's Razor: Minilateralism and International Economic Regulation, 10 BROOK. J. CORP. FIN. & COM. L. 319 (2016).

WORKS-IN-PROGRESS

Cybersecurity and Securities Regulation

Collaboration Theory: A Theory of the For-Profit Corporation

Tax-Exempt Entities and the Duty of Obedience

TEACHING**Courses Taught**

Federal Income Tax	Contracts (including Sales)
Tax-Exempt Organizations	Criminal Law
Business Associations	Advanced Criminal Law
Business Planning	White Collar Crime
Securities Regulation	Values and Ethics
International Business Transactions	Trusts & Estates
International Securities Regulation	

Additional Teaching Interests

Cyber Law	Commercial Law (All UCC Subjects)
Corporate Tax	Health Law
Gift & Estate Tax	Professional Responsibility

SELECTED HONORS, AWARDS, AND GRANTS

Beth A. Eisler Award for First-Year Teaching, The University of Toledo College of Law	2018
Faculty Scholarship Award, 2018, The University of Toledo College of Law	2018
Professor of the Year, The University of Toledo College of Law	2017
Professor of the Year, The University of Toledo College of Law	2016
Beth A. Eisler Award for First-Year Teaching, The University of Toledo College of Law	2016
Eastman & Smith, Ltd. Teaching & Scholarship Grant	2015
Beth A. Eisler Award for First-Year Teaching, The University of Toledo College of Law	2015
Dean Francis J. Conte Special Service Award, University of Dayton School of Law	May 19, 2012
Professor of the Year (as voted by the upper-level students), University of Dayton School of Law	2011-12
Professor of the Year (as voted by the first-year students), University of Dayton School of Law	2011-12
Professor of the Year (as voted by the upper-level students), University of Dayton School of Law	2010-11
Professor of the Year (as voted by the upper-level students), University of Dayton School of Law	2009-10
University Research Fellow, University of Dayton	Spring 2009-Fall 2009
Honorary Induction, Phi Delta Phi International Legal Fraternity	Mar. 12, 2007

SELECTED SPEAKING ENGAGEMENTS

Speaker, *Collaboration Theory and Corporate Tax Avoidance*, National Business Law Scholars Conference, The University of Georgia School of Law (June 22, 2018).

Moderator & Discussant, *Contract Law & Business Law*, National Business Law Scholars Conference, The University of Georgia School of Law (June 22, 2018).

Moderator & Discussant, *Business Law & Disruptive Technology*, National Business Law Scholars Conference, The University of Georgia School of Law (June 21, 2018).

Moderator & Discussant, *Corporate Crime*, National Business Law Scholars Conference, The University of Georgia School of Law (June 21, 2018).

Commentator & Discussant, *2018 Compliance Roundtable*, Ross School of Business at the University of Michigan (May 4, 2018).

Speaker, *Regulation as Placeholder: Lessons Learned from the Foreign Corrupt Practices Act*, Journal of Regulatory Compliance and the Center for Compliance Studies Symposium, Loyola University Chicago School of Law (Feb. 16, 2018).

Speaker, *Collaboration Theory and Consumer Financial Protection*, Center for Corporate Law and Governance Workshop on Consumer Financial Protection Law, Rutgers Law School (Feb. 3, 2018).

Panelist, *A New Era for Business Regulation?*, The Association of American Law Schools Annual Meeting, San Diego, California (Jan. 6, 2018).

Commentator, *Emerging Voices in Securities Regulation*, The Association of American Law Schools Annual Meeting, San Diego, California (Jan. 4, 2018).

Speaker, *A Call for Legislative Reform: Expanding the Extraterritorial Application of the Private Rights of Action Under Federal Securities Law While Limiting the Scope of Relief Available*, The University of Akron School of Law (Nov. 3, 2017).

Speaker, *Collaboration Theory: A Theory of the Corporation*, Faculty Speaker Series, University of Tennessee College of Law (Nov. 1, 2017).

Panelist, *Current Topics in Securities Offerings*, Ohio Securities Conference, Columbus, Ohio (Oct. 27, 2017).

Speaker, *Securities Regulation and the Roberts Court*, Central States Law Schools Association Conference, Southern Illinois University Law School (Oct. 7, 2017).

Speaker, *Collaboration Theory: A Theory of the Corporation and Its Governance*, Visiting Speakers Series, University of Kentucky College of Law (Oct 3, 2017).

Commentator, Response to Monica Oliveira's work-in-progress, *A Case Study on the Fragility of the International Taxation System*, ASIL Midwest Works-in-Progress Conference, Cleveland-Marshall College of Law (Sept. 15, 2017).

Panelist, *Corporate and Financial Regulation in the Trump Administration*, Southeastern Association of Law Schools Conference, Boca Raton, Florida (Aug. 6, 2017).

Panelist, *Pedagogy and Assessments in Tax Courses*, Southeastern Association of Law Schools Conference, Boca Raton, Florida (Aug. 4, 2017).

Speaker, *The Corporate Form and Corporate Tax Avoidance*, Ohio Legal Scholarship Workshop, The University of Toledo College of Law (June 16, 2017).

Speaker, *Collaboration Theory and Corporate Tax Avoidance*, National Business Law Scholars Conference, University of Utah S.J. Quinney College of Law (June 8, 2017).

Moderator & Discussant, *Hot Topics in Securities Regulation*, National Business Law Scholars Conference, University of Utah S.J. Quinney College of Law (June 8, 2017).

Moderator & Discussant, *Insider Trading Regulation*, National Business Law Scholars Conference, University of Utah S.J. Quinney College of Law (June 8, 2017).

Panelist, *Salman v. United States and the Future of Insider Trading Law*, The Association of American Law Schools Annual Meeting, San Francisco, California (Jan. 6, 2017).

Moderator, *Scholarship and Activism: Writing to Protest and for Social Change*, AALS Section on Scholarship, The Association of American Law Schools Annual Meeting, San Francisco, California (Jan. 4, 2017).

Speaker, *Collaboration Theory: A Theory of the Charitable Tax Exempt Nonprofit Corporation*, Faculty Colloquium, University of Nevada, Las Vegas William S. Boyd School of Law (Oct. 31, 2016).

Panelist, *Complex Securities Products*, Ohio Securities Conference, Columbus, Ohio (Oct. 21, 2016).

Moderator, *Corporate Compliance Under the Foreign Corrupt Practices Act*, The University of Toledo Law Review Symposium on Creating Compliance: Exploring a Maturing Industry, The University of Toledo College of Law (Oct. 7, 2016).

Speaker, *The Theoretical Foundations of Corporate Social Responsibility*, National Business Law Scholars Conference, University of Chicago Law School (June 23, 2016).

Moderator & Discussant, *Antitrust and Regulating Anticompetitive Behavior*, National Business Law Scholars Conference, University of Chicago Law School (June 23, 2016).

Moderator & Discussant, *Business Entities and Political Rights*, National Business Law Scholars Conference, University of Chicago Law School (June 23, 2016).

Moderator & Discussant, *The Intersection of Business and Tax Law* National Business, Law Scholars Conference, University of Chicago Law School (June 23, 2016).

Speaker, *Collaboration Theory: A Theory of the Charitable Tax Exempt Nonprofit Corporation*, Annual Meeting of the Law and Society Association, New Orleans, Louisiana (June 2, 2016).

Speaker, Exploring Business Investment Regulation Under United States Securities Law, Northwest University of Politics and Law, Xi'an, China (May 18, 2016).

Speaker, Exploring Business Investment Regulation Under United States Securities Law, Zhongnan University of Law and Economics, Wuhan, China (May 14, 2016).

Speaker, Exploring Business Investment Regulation Under United States Securities Law, Yanshan University, Qinhuangdao, China (May 11, 2016).

Speaker, *The Theoretical Foundations of Corporate Social Responsibility and the Closely Held Corporation*, University of Cincinnati Law Review Symposium on Corporate Social Responsibility and the Modern Enterprise, The University of Cincinnati College of Law (Mar. 18, 2016).

Panelist, *Sexual Discrimination Panel*, Women's Law Student Association, The University of Toledo College of Law (Oct. 12, 2015).

Speaker, *Collaboration Theory: A Theory of the Charitable Tax Exempt Nonprofit Corporation*, Central States Law Schools Association Conference, The University of Toledo College of Law (Oct. 10, 2015).

Speaker, *Securities Regulation and Cryptocurrencies*, Central States Law Schools Association Conference, The University of Toledo College of Law (Oct. 9, 2015).

Panelist, *Virtual Currency*, Ohio Securities Conference, Columbus, Ohio (Oct. 2, 2015).

Speaker, *Collaboration Theory: A Theory of the Tax-Exempt Nonprofit Corporation*, National Business Law Scholars Conference, Seton Hall University School of Law (June 4-5, 2015).

Moderator & Discussant, *The Extraterritorial Application of Federal Financial Market Regulation*, National Business Law Scholars Conference, Seton Hall University School of Law (June 4-5, 2015).

Moderator & Discussant, *The Intersection of Business Law and Cyber Law*, National Business Law Scholars Conference, Seton Hall University School of Law (June 4-5, 2015).

Speaker, *Answering the Call to Reinvent Legal Education: The Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools*, AALS Section on Agency, Partnership, LLCs, and Unincorporated Associations, The Association of American Law Schools Annual Meeting, Washington, D.C. (Jan. 4, 2015).

Speaker, *Thinking Like a (Business) Lawyer: The Role of Reason and Intuition in Legal Decision-Making*, The University of Findlay (Nov. 3, 2014).

Panelist, *Alternative Investments for the Masses?*, Ohio Securities Conference, Columbus, Ohio (Oct. 31, 2014).

Moderator, *Religious Liberty for Corporations? Hobby Lobby, Obamacare, and the Supreme Court*, Federalist Society Debate, The University of Toledo College of Law (Oct. 22, 2014).

Speaker, *The Death and Rebirth of Codes of Legal Ethics: The Role of Neuroscientific Evidence of Irrational Ethical Decision-Making in Formulating Regulation for the Practice of Law*, Central States Law School Association Conference, Louisiana State University Paul M. Hebert Law Center (Oct. 10, 2014).

Speaker, *An Interdisciplinary Analysis of the Use of Ethical Intuition in Legal Compliance Decision Making for Business Entities*, National Business Law Scholars Conference, Loyola Law School, Los Angeles (June 19, 2014).

Speaker, *Legal Ethics and Securities Regulation: Best Practices for Behaving Ethically While Working in the Securities Industry*, Ohio Securities Conference, Columbus, Ohio (Oct. 25, 2013).

Speaker, *From Legalized Business Ethics to International Trade Regulation: The Role of the Foreign Corrupt Practices Act and Other Transnational Anti-Bribery Regulation in Fighting Corruption in the Global Supply Chain*, Mercer Law Review Symposium on Current Trends in International Trade and Their Impact on Multinational Business, Mercer University School of Law (Oct. 11, 2013).

Speaker, *Providing a Path Forward in Legal Education: Exploring the Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools*, Central States Law School Association Conference, University of Arkansas School of Law (Oct. 4, 2013).

Speaker, *Building a Global System of Securities Law: A Proposal for the Harmonization and Centralization of Insider Trading Regulation*, Ohio Legal Scholarship Workshop, University of Dayton School of Law (June 21, 2013).

- Speaker, *The Internationalization of Insider Trading Regulation: The Search for a Coherent Governing Principles*, National Business Law Scholars Conference, The Ohio State University Moritz College of Law (June 12, 2013).
- Moderator & Discussant, *The Past, Present, and Future of Financial Self-Regulatory Institutions*, National Corporate Law Scholars Conference, The Ohio State University Moritz College of Law (June 12, 2013).
- Speaker, *In Search of Coherent Governing Principles for Regulating the Emerging Global Securities Markets: A Comparative Analysis of National Insider Trading Regulation*, Annual Meeting of the Law and Society Association, Boston, Massachusetts (May 30, 2013).
- Speaker, *From Ethics to Economics: The Need for continued Vigilance by Corporate Counsel in Foreign Corrupt Practices Act Compliance*, The Joseph G. Miller and William C. Becker Center for Professional Responsibility Symposium on Navigating the Practice of Law in the Wake of Ethics 20/20, The University of Akron Law School (Apr. 4, 2013).
- Speaker, *Fighting Corruption in Global Financial Markets: The Past, Present, and Future of the Foreign Corrupt Practices Act and Other Transnational Anti-Corruption Laws*, Faculty Colloquium, Elon University School of Law (Feb. 18, 2013).
- Speaker, *Answering the Call to Reinvent Legal Education: The Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools*, Ohio Legal Scholarship Workshop, The Ohio State University Moritz College of Law (Feb. 2, 2013).
- Speaker, *Transnational Anti-Corruption Regulation and Stable Global Financial Markets: Exploring What Still Needs to Be Done*, Central States Law Schools Association Conference, Cleveland-Marshall College of Law (Oct. 20, 2012).
- Panelist, *JOBS Act Compliance: Crowdfunding and Rule 506 Reform*, Ohio Securities Conference, Columbus, Ohio (Oct. 12, 2012).
- Speaker, *The Importance of the Foreign Corrupt Practices Act and Other Transnational Anti-Corruption Laws in Creating Stable Global Securities Markets*, Faculty Colloquium, The University of Toledo College of Law (Oct. 10, 2012).
- Speaker, *The Importance of the Foreign Corrupt Practices Act and Other Transnational Anti-Corruption Laws in Creating Stable Global Securities Markets*, National Business Law Scholars Conference, University of Cincinnati College of Law (June 28, 2012).

- Moderator & Discussant, *Defining Ethical Behavior within Business Entities*, University of Cincinnati College of Law (June 28, 2012).
- Speaker, *The Increasing Importance of the Foreign Corrupt Practices Act and Other Transnational Anti-Corruption Laws in the Shadow of the Great Recession*, Ohio Legal Scholarship Workshop, West Virginia College of Law (June 14, 2012).
- Commentator, Response to Will Rhee's work-in-progress, *Adversarial Coding of Federal Court Cases: How Expanding Party Coding Can Transform the Empirical Study of Federal Courts*, Ohio Legal Scholarship Workshop, West Virginia College of Law (June 14, 2012).
- Speaker, *The Past, Present, and Future of the International Organization of Securities Commissions: What Should IOSCO's Role be in Combating Transnational Corruption?*, 2012 International Conference on Law and Society, Honolulu, Hawai'i (June 8, 2012).
- Speaker, *Training the Lawyers of Tomorrow: The Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools*, Capital University Law School (Apr. 10, 2012).
- Speaker, *The Role of the Foreign Corrupt Practices Act and Other Transnational Anti-Corruption Laws in Preventing or Lessening Future Financial Crises*, Ohio State Law Journal Symposium on the Foreign Corrupt Practices Act at 35 and Its Impact on Global Business, The Ohio State University Moritz College of Law (Mar. 16, 2012).
- Speaker, *Informatics and Securities Regulation: Exploring the Role of Securities Law on the Internet and within Virtual Worlds*, Northern Kentucky Law Review Law & Informatics Symposium, Northern Kentucky University Salmon P. Chase College of Law (Mar. 2, 2012).
- Speaker, *Two Tales of "Publicness," Exploring Why Certain Companies are "Public" Companies under Federal Securities Law and Others Are Not*, Ohio Legal Scholarship Workshop, Capital University Law School (Feb. 25, 2012).
- Commentator, Response to Fenner L. Stewart, Jr.'s work-in-progress, *Indeterminacy and Balance: A Path to a Wholesome Corporate Law*, Ohio Legal Scholarship Workshop, Capital University Law School (Feb. 25, 2012).
- Commentator, Response to Donald C. Langevoort and Robert B. Thompson's work-in-progress, *"Publicness" in Contemporary Securities Regulation*, Scholarly Roundtable, Georgetown University Law Center (Feb. 3, 2012).

Speaker, *Regulating On-line Peer-to-Peer Lending in the Aftermath of Dodd-Frank: In Search of an Evolving Regulatory Regime for an Evolving Industry*, AALS Section on Financial Institutions and Consumer Financial Services, The Association of American Law Schools Annual Meeting, Washington, D.C. (Jan. 8, 2012).

Speaker, *Regulating On-line Peer-to-Peer Lending in the Aftermath of Dodd-Frank: In Search of an Evolving Regulatory Regime for an Evolving Industry*, Washington and Lee Law Review Symposium on Regulation in the Fringe Economy, Washington and Lee University School of Law (Nov. 11, 2011).

Speaker, *The Dodd-Frank Wall Street Reform and Consumer Protection Act: A Failed Vision for Increasing Consumer Protection and Heightening Corporate Responsibility in International Financial Transactions* Faculty Colloquium, Mercer University School of Law (Nov. 9, 2011).

Speaker, *Lessons from Metaethics, Cognitive Neuroscience, Moral Psychology, and Behavioral Economics: The Use of Ethical Intuitionism in Business Leadership and Legal Compliance*, Texas Wesleyan Law Review Business Leadership Symposium, Texas Wesleyan School of Law (Nov. 4, 2011).

Speaker, *International Financial Regulation in the Wake of Dodd-Frank: A Missed Opportunity for Leadership by the United States in International Coordination*, Central States Law Schools Association Conference, The University of Toledo College of Law (Oct. 29, 2011).

Speaker, *The Dodd-Frank Wall Street Reform and Consumer Protection Act: A Failed Vision for Increasing Consumer Protection and Heightening Corporate Responsibility in International Financial Transactions* 2011 Ohio Securities Conference, Columbus, Ohio (Oct. 21, 2011).

Speaker, *An Interdisciplinary Exploration of the Role of Intuition in Human Decision-Making and Its Application to the Practice of Law: A Dual-Process Model for Making Legal Compliance Decisions in the Business World*, Faculty Colloquium Series, The University of Akron Law School (Sept. 21, 2011).

Moderator, *Government Contracting: The Ins and Outs of Small Business Programs, Contract Compliance and Government Investigations*, Association of Corporate Counsel Conference, University of Dayton School of Law (Sept. 14, 2011).

Speaker, *Securities Regulation in Cyberspace: The Application of United States Federal Securities Law to Virtual Worlds*, 11th Annual Intellectual Property Scholars Conference, DePaul University College of Law (Aug. 11, 2011).

Speaker, *New Frontiers in Securities Regulation: Creating a Coherent Regulatory Scheme for Securities Transactions in Virtual Worlds*, Ohio Legal Scholarship Workshop, Cleveland-Marshall College of Law (June 23, 2011).

- Speaker, *The Dodd-Frank Wall Street Reform and Consumer Protection Act: A Failed Vision for International Financial Regulatory Reform*, Midwest Corporate Law Scholars Conference, The Ohio State University Moritz College of Law (June 15, 2011).
- Moderator & Discussant, *Current Topics in Comparative and International Business Regulation*, Midwest Corporate Law Scholars Conference, The Ohio State University Moritz College of Law (June 15, 2011).
- Speaker, *Securities Law in Cyberspace: The Regulation of Securities Markets in Virtual Societies*, Annual Meeting of the Law and Society Association, San Francisco, California (June 3, 2011).
- Moderator & Discussant, *E-Life: Legal Complexities of the Electronic Media World*, Annual Meeting of the Law and Society Association, San Francisco, California (June 3, 2011).
- Speaker, *Land Use Planning and Indigenous Rights: A Critical Analysis of the Alaska Native Claims Settlement Act*, Planning, Law, and Property Rights International Conference, University of Alberta, Faculty of Law (May 27, 2011).
- Speaker, *Promises Yet to Be Fulfilled: The Missing International Component of Financial Regulatory Reform*, Osgoode Graduate Law Students Association Conference: "No Boundaries: Transnational Law and a New Order of Global Governance," Osgoode Hall Law School, York University (May 10, 2011).
- Presenter, *A Transactional Skills Curriculum for a New Century: The Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools*, Future Ed 3: Making Global Lawyers for the 21st Century, New York Law School (Apr. 15, 2011).
- Speaker, *Securities Law in Virtual Worlds: The Intersection of Video Games, Business, Regulation, and Society*, Conference on The Game Behind the Video Game: Business, Regulation, and Society in the Gaming Industry, Rutgers School of Communication and Information (Apr. 9, 2011).
- Speaker, *Forty Years of the Alaska Native Claims Settlement Act: A Critical Reexamination of the Relationship Between Business Organizations and Tribal Sovereignty*, Association for Law, Property, and Society Annual Meeting, Georgetown University Law Center (Mar. 5, 2011).
- Speaker, *Person-to-Person Lending Regulation: Answering the Call of the Dodd-Frank Wall Street Reform and Consumer Protection Act*, American University Law Review Symposium on Financial Regulatory Reform, American University Washington College of Law (Mar. 3, 2011).
- Speaker, *Globalization and Securities Law: A Comparative Analysis of Insider Trading Regulation*, The Thomas Feeney Memorial Lecture, University of Ottawa, Faculty of Law, Common Law Section (Feb. 28, 2011).

- Speaker, *Exploring the Contractual Foundations of Employment Law and Business Law: Resolving What Fiduciary Duties Are Owed to Shareholder Employees*, International Conference on Contracts, Stetson University College of Law (Feb. 19, 2011).
- Speaker, *Financial Regulation in Cyberspace: Creating a Comprehensive Regulatory System for Person-to-Person Lending*, Ohio Legal Scholarship Workshop, Capital University Law School (Feb. 5, 2011).
- Commentator, Response to Lynn Bai's work-in-progress, *The Performance Disclosures of Credit Rating Agencies: Are They Effective Reputational Sanctions?*, Ohio Legal Scholarship Workshop, Capital University Law School (Feb. 5, 2011).
- Moderator, *Ethical and Legal Dilemmas Involving the Use of Guardians Ad Litem in Litigation*, Honorable James J. Gilvary Symposium on Law, Religion & Social Justice, University of Dayton School of Law (Jan. 21, 2011).
- Speaker, "A Riddle, Wrapped in a Mystery, Inside an Enigma": *Peer-to-Peer Lending and the Complex Questions of Its Benefits, Harms, and How to Regulate It*, 2010 Ohio Securities Conference, Columbus, Ohio (Oct. 22, 2010).
- Presenter, *A Transactional Skills Curriculum for a New Century: The Need to Incorporate Practical Business and Transactional Skills Training into the Curricula of America's Law Schools*, Future Ed 2: Making Global Lawyers for the 21st Century, Harvard Law School (Oct. 16, 2010).
- Presenter, *A Time of Transition: The Need for Capstone Courses in American Legal Education*, Future Ed 2: Making Global Lawyers for the 21st Century, Harvard Law School (Oct. 16, 2010).
- Speaker, *Building Legal Norms from Society's Intuitions about Justice: The Role of Ethical Intuitionism in Legal Compliance in the Business World*, Midwest Law and Society Retreat, University of Wisconsin Law School (Oct. 9, 2010).
- Speaker, *Preventing Transnational Financial Corruption: The Need for Harmonization and Centralization of International Securities Law*, Centre for Transnational Law and Justice Conference on Preventing Global Corruption, University of Windsor Faculty of Law (Oct. 2, 2010).
- Speaker, *Using Contract Law to Resolve What Duties Are Owed to Equity Holder Employees: An Analysis of How Equity Ownership Should Effect Employment-At-Will Status*, Colloquium on Current Scholarship in Labor and Employment Law, Washington University School of Law & St. Louis University School of Law (Sept. 24, 2010).

- Speaker, *The International Response to the Financial Crisis that Began in 2008: A Comparative Analysis of National Perspectives on Financial Regulatory Reform*, University of New York in Prague, Prague, Czech Republic (Aug. 12, 2010).
- Speaker, *Competing Views of Financial Regulatory Reform: A Comparative Analysis of the United States and Germany's Proposed Regulatory Response to the Financial Crisis that Began in 2008*, Club International, Leipzig, Germany (July 28, 2010).
- Speaker, *Finishing the Race to the Bottom: An Argument for the Harmonization and Centralization of International Securities Law*, 7th Annual International Conference on Law, Athens, Greece (July 19, 2010).
- Speaker, *In Search of a Coherent Governing Principle: The Convergence of National and International Regulation of Insider Trading*, Ohio Legal Scholarship Workshop, The University of Toledo College of Law (June 25, 2010).
- Commentator, Response to Jenny Carroll's work-in-progress, *Of Rebels, Rogues and Roustabouts: The Jury's Second Coming*, Ohio Legal Scholarship Workshop, The University of Toledo College of Law (June 25, 2010).
- Speaker, *Evolution, Not Revolution, in International Securities Regulation: A Modest Proposal for a Global Securities and Exchange Commission*, Midwest Corporate Law Scholars Conference, University of Cincinnati College of Law (June 22, 2010).
- Speaker, *A Moment of Opportunity: Reimagining International Securities Regulation in the Shadow of Financial Crisis*, Annual Meeting of the Law and Society Association, Chicago, Illinois (May 29, 2010).
- Speaker, *Metaethics, Neuroscience, Moral Psychology, and Behavioral Economics: Ethical Intuitionism and Legal Compliance in the Business World*, Association of the Study of Law, Culture and the Humanities Conference, Brown University (Mar. 20, 2010).
- Speaker, *Contemplating the Endgame: An Evolutionary Model for the Harmonization and Centralization of International Securities Regulation*, University of Cincinnati Law Review Symposium on the Globalization of Securities Regulation, University of Cincinnati College of Law (Mar. 5, 2010).
- Speaker, *The Internationalization of Securities Regulation: The United States Government's Role in Regulating the Global Capital Markets*, Ohio Legal Scholarship Workshop, Capital University Law School (Feb. 20, 2010).

Speaker, *Finishing the Race to the Bottom: An Argument for the Harmonization and Centralization of International Securities Law*, Seton Hall Law Review Symposium on Securities Regulation and the Global Economic Crisis, Seton Hall University School of Law (Oct. 30, 2009).

Speaker, *A Moment of Opportunity: Reimagining International Securities Regulation in the Shadow of Financial Crisis*, Central States Law Schools Association Conference, Capital University Law School (Oct. 24, 2009).

Speaker, *Finishing the Race to the Bottom: An Argument in Favor of a Global Securities and Exchange Commission*, Ohio Legal Scholarship Workshop, Ohio Northern University Pettit College of Law (June 26, 2009).

Commentator, Response to Stephanie Hunter McMahon's work-in-progress, *Great Britain: From Marriage Penalty To What?*, Ohio Legal Scholarship Workshop, Ohio Northern University Pettit College of Law (June 26, 2009).

Speaker, *Sidestepping the Corporate Veil: The Use of Civil RICO to Circumvent the Limited Liability Shield Between Parents and Subsidiaries*, Ohio Legal Scholarship Workshop, The Ohio State University Moritz College of Law (Jan. 24, 2009).

Speaker, *Standing Under Section 10(b) and Rule 10b-5: The Continued Validity of the Forced Seller Exception to the Purchaser-Seller Requirement*, Center for Business Law & Regulation Colloquium, Case Western Reserve University School of Law (Oct. 31, 2008).

Speaker, *Standing Under Section 10(b) and Rule 10b-5: The Continued Validity of the Forced Seller Exception to the Purchaser-Seller Requirement*, Central States Law Schools Association Conference, Southern Illinois University Law School (Oct. 25, 2008).

Speaker, *Metaethics, Neuroscience, and Behavioral Economics: Ethical Intuitionism and Legal Compliance in the Business World*, Faculty Colloquium, Case Western Reserve University School of Law (Oct. 17, 2008).

Moderator, *Defending Non-citizens in Criminal Trials*, Honorable James J. Gilvary Symposium on Law, Religion & Social Justice, University of Dayton School of Law (Feb. 28, 2008).

Speaker, *Ethical Intuitionism and Legal Compliance in the Business World*, Ohio Legal Scholarship Workshop, The Ohio State University Moritz College of Law (Jan. 26, 2008).

Speaker, *Unearthing Corporate Wrongdoing: Detecting and Dealing with Ethical Breaches in the Business World*, Project for Law and Business Ethics Symposium, University of Dayton School of Law (Oct. 25, 2007).

Speaker, *Business Organizations and Tribal Self-Determination: A Critical Reexamination of the Alaska Native Claims Settlement Act*, Ohio Legal Scholarship Workshop, Capital University Law School (June 23, 2007).

Speaker, *Business Organizations and Tribal Sovereignty: A Critical Reexamination of the Alaska Native Claims Settlement Act*, Faculty Colloquium, University of Dayton School of Law (Dec. 13, 2006).

SYMPOSIA, CONFERENCES & WORKSHOPS ORGANIZED & HOSTED

Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 26, 2018).

Co-Chair & Co-Founder (with Anthony J. Casey, Steven Davidoff Solomon, Joan MacLeod Heminway, Kristin N. Johnson, Elizabeth Pollman, Margaret V. Sach & Jeff Schwartz), National Business Law Scholars Conference, The University of Georgia School of Law (June 21-22, 2018).

Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 27, 2017).

Co-Chair & Co-Founder (with Anthony J. Casey, Steven Davidoff Solomon, Joan MacLeod Heminway, Kristin N. Johnson, Elizabeth Pollman, Margaret V. Sach & Jeff Schwartz), National Business Law Scholars Conference, University of Utah S.J. Quinney College of Law (June 8-9, 2017).

Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 21, 2016).

Co-Organizer (with The University of Toledo Law Review), *Creating Compliance: Exploring a Maturing Industry*, The University of Toledo Law Review Symposium, The University of Toledo College of Law (Oct. 7, 2016).

Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 21, 2016).

Co-Chair & Co-Founder (with Anthony J. Casey, Steven Davidoff Solomon, Joan MacLeod Heminway, Kristin N. Johnson, Elizabeth Pollman, Margaret V. Sach & Jeff Schwartz), National Business Law Scholars Conference, University of Chicago Law School (June 23-24, 2016).

Host & President, Central States Law School Association Conference, The University of Toledo College of Law (Oct. 9-10, 2015).

Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 2, 2015).

Co-Chair & Co-Founder (with Barbara Black, Steven M. Davidoff, Kristin N. Johnson, Elizabeth Pollman & Margaret V. Sach), National Business Law Scholars Conference, Seton Hall University School of Law (June 4-5, 2015).

Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 31, 2014).

Executive Board & Planning Committee, Central States Law School Association Conference, Paul M. Hebert Law Center Louisiana State University (Oct. 10-11, 2014).

Co-Chair & Co-Founder (with Barbara Black, Steven M. Davidoff, Kristin N. Johnson, Elizabeth Pollman & Margaret V. Sachs), National Business Law Scholars Conference, Loyola Law School, Los Angeles (June 19-20, 2014).

Co-Organizer (with the Division of Securities of the Ohio Department of Commerce), Ohio Securities Conference, Columbus, Ohio (Oct. 25, 2013).

Executive Board & Planning Committee, Central States Law School Association Conference, University of Arkansas School of Law (Oct. 4-5, 2013).

Chair & Organizer, Ohio Legal Scholarship Workshop, University of Dayton School of Law (June 21, 2013).

Co-Chair & Co-Founder (with Barbara Black & Steven Davidoff), National Business Law Scholars Conference, The Ohio State University Moritz College of Law (June 12-13, 2013).

Co-Chair & Co-Founder (with Barbara Black & Steven Davidoff), National Business Law Scholars Conference, University of Cincinnati College of Law (June 27-28, 2012).

Co-Chair & Co-Founder (with Barbara Black & Steven Davidoff), Midwest Corporate Law Scholars Conference, The Ohio State University Moritz College of Law (June 15, 2011).

Chair & Organizer, *Perspectives on Gender and Business Ethics: Women in Corporate Governance*, Project for Law and Business Ethics Symposium, University of Dayton School of Law (Feb. 25, 2011).

Co-Chair & Co-Organizer (with the American Constitution Society), *The Innocence Project: The Exoneration of Raymond Towler*, University of Dayton School of Law (Sept. 22, 2010).

Co-Chair & Co-Founder (with Barbara Black & Steven Davidoff), Midwest Corporate Law Scholars Conference, University of Cincinnati College of Law (June 22, 2010).

Co-Chair & Co-Organizer (with Victoria VanZandt), *The Fallout from the Bailout: The Impact of the 2008 Bailout on Lending Regulation, Securities Regulation, and Business Ethics*, Project for Law and Business Ethics Symposium, University of Dayton School of Law (Mar. 20, 2009).

Co-Chair & Co-Organizer (with Jeannette Cox), Ohio Legal Scholarship Workshop, University of Dayton School of Law (June 20, 2008).

Chair & Organizer, *Unearthing Corporate Wrongdoing: Detecting and Dealing with Ethical Breaches in the Business World*, Project for Law and Business Ethics Symposium, University of Dayton School of Law (Oct. 25, 2007).

OTHER ACADEMIC APPOINTMENTS

University of Szeged, Szeged, Hungary February 2014-March 2014; March 2016; March 2018
Visiting Professor

University of Dayton School of Law, Dayton, OH August 2006-May 2013
Professor (2012-13); Associate Professor (2009-12); Assistant Professor (2006-09)
Chair, Project for Law and Business Ethics (2007-13)
Director of Faculty Research (2012-13)

DePaul College of Law, Prague, Czech Republic July 2010-August 2010
Visiting Associate Professor, Study Abroad Program

Case Western Reserve University School of Law, Cleveland, OH July 2008-December 2008
Visiting Assistant Professor

LEGAL PRACTICE EXPERIENCE

Jones Day, Cleveland, OH Summer 2001, August 2002-August 2006
Associate

Represented clients in bankruptcy, corporate litigation, intellectual property, and criminal cases.

Participated in all phases of litigation with an emphasis on trial preparation and discovery.

Engaged in business counseling relating to governance, internal controls, and fraud prevention.

Custody and Support Assistance Clinic, Inc., Philadelphia, PA September 2000-May 2002

Board Member and Student Supervisor

- Managed a caseload of twenty cases.
- Drafted legal pleadings and engaged in case planning.
- Participated in numerous custody and child support cases.

Western Ohio Legal Services Association, Xenia, OH May 2000-August 2000

Law Clerk

- Managed a caseload of forty-five cases.
- Drafted legal pleadings and researched complex legal issues.
- Participated in various domestic violence, divorce, custody, child support, and probate cases.

COMMUNITY SERVICE

Cleveland Association of Phi Beta Kappa, Cleveland, OH September 2003-May 2011

President (2008-2010), Vice President (2006-2008), Treasurer 2004-2006), Trustee (2003-2011)

- Administer scholarship competitions to students throughout the Cleveland area.
- Engage in strategic planning, fundraising, and event development.
- Promote and strengthen Phi Beta Kappa's ties to northeast Ohio.

Martin Luther King, Jr. School for Law & Municipal Careers, Cleveland, OH May 2006-January 2008

Law Academy Board Member

- Developed and implemented the educational curriculum for the Academy.
- Promoted and strengthened the Academy's ties to the Cleveland community.
- Established educational goals in a manner consistent with student and community needs.

Domestic Violence Center, Cleveland, OH July 2004-September 2006

Board Member and Governance Committee Chair

- Provided guidance and consultation on corporate governance issues.
- Participated in the management of a multi-million dollar, non-profit corporation.
- Engaged in strategic planning and event development.

United Way - Health and Caring Investment Committee, Cleveland, OH January 2005-September 2006

Member

- Mapped and analyzed the health and human services needs of the Cleveland community.
- Identified and implemented strategies for improving health services in Cleveland.
- Determined the eligibility of non-profit corporations for United Way funding and support.

Martin Luther King, Jr. School for Law & Municipal Careers, Cleveland, OH March 2004-June 2006

Mock Trial Coach

Instructed and advised students regarding effective trial skills and strategies.

Developed activities and exercises to improve courtroom performance.

Promoted awareness and appreciation of the legal profession.

United Way, Cleveland, OH August 2002-November 2002

Loaned Executive

Presented information regarding the United Way to audiences of 20 to 80 people.

Organized and supervised 70 United Way workplace campaigns.

Interacted with numerous non-profit corporations throughout the Cleveland community.

PROFESSIONAL ORGANIZATIONS

Association of American Law Schools

Chair, Scholarly Papers Committee for the 2019 Annual Meeting (September 2017-Present)

Executive Committee, Section on Securities Regulation (January 2017-Present)

(Chair-Elect, January 2018-January 2019)

Executive Committee, Section on Business Associations (January 2017-Present)

AALS Reporter, ABA-AALS Site Visit: New England Law School – Boston (Fall 2017)

Executive Committee, Section on Scholarship (January 2013-January 2017)

(Chair, January 2016-January 2017)

Executive Committee, Section on Internet & Computer Law (January 2013-January 2015)

Executive Committee, Section on Agency & Unincorporated Associations (January 2013-January 2014)

American Bar Association

ABA Site Team Member, ABA-AALS Site Visit: New England Law School – Boston (Fall 2017)

Central States Law School Association

President (October 2014-October 2015)

Vice President (October 2013-October 2014)

Secretary (October 2012-October 2013)

UNIVERSITY AND LAW SCHOOL SERVICE

The University of Toledo College of Law

Academic Policy

Assessment, Long-Term Planning, Budget & Curriculum Fall 2016-Present

Fornoff Moot Court Competition, Adviser Fall 2014-Present

JD/MBA Program, Adviser Fall 2013-Present

Admissions Committee Spring 2018-Spring 2018

Compensation Committee Spring 2016-Spring 2018

Disciplinary Committee	Fall 2016-Spring 2017
Academic Policy Committee	Fall 2013-Spring 2014
Budget Committee (Chair, Fall 2013-Spring 2014)	Fall 2013-Spring 2015
Curriculum Committee (Chair, Fall 2015)	Fall 2013-Fall 2015
Renewal, Promotion & Tenure Committee	Fall 2015
Strategic Planning Committee, Chair	Fall 2014-Spring 2015

The University of Toledo

Committee on Elections	Fall 2014-Present
University Assessment Committee	Spring 2016-Spring 2017
Committee on Faculty Affairs, Chair	Fall 2013-Spring 2015

University of Dayton School of Law

Law Review, Adviser	Spring 2009-Spring 2013
Moot Court, Adviser	Fall 2012-Spring 2013
Library Committee	Spring 2012-Spring 2013
Colloquium Committee, Chair	Fall 2009-Spring 2012
Faculty Recruitment and Development Committee	Spring 2009-Spring 2010
Dean's Faculty Advisory Committee	Fall 2009-Spring 2010; Fall 2011-Spring 2012
Legal Profession Hiring Committee	Fall 2009-Spring 2010
Pro Bono and Social Justice Committee	Fall 2006-Spring 2008
Tradition Committee	Spring 2007-Spring 2008

EDUCATION

University of Pennsylvania Law School

Juris Doctor, May 2002

Honors:	Managing Editor, <i>Journal of Constitutional Law</i> Pro Bono Award
Activities:	Founder, Penn Law Immigration Clinic Editor-in-Chief, <i>Penn Law Forum: Independent Student Newspaper</i>

The Ohio State University

Bachelor of Arts, English and Philosophy, *summa cum laude*, June 1999

Honors:	Phi Beta Kappa, Diploma <i>with Honors</i> and <i>with Distinction</i> , The Arts and Sciences Award for Excellence in Scholarship
Thesis:	<i>Melancholia, Gender, and Morbidity: The Twin Psychologies of Prince Hamlet and Quentin Compson</i>

BAR ADMISSIONS

State Bar of Ohio	2002
United States District Court for the Northern District of Ohio	2003
United States Court of Appeals for the Sixth Circuit	2005