Risk Assessment Matrix Template

(Name of Process or Function)

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| Objective/Activity | Risk & Exposure | Potential Impact | Prob. Of Occur. | Rank Before Controls | Mitigation Strategy | BEST PRACTICES | Rank After Controls |
| Operating Controls | Monitoring Controls | Oversight Controls | I/A Controls |
|  |  | HML | HML | HML | AvoidAcceptTransferControl |  |  |  |  |  |
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