The University of Toledo
Finance and Audit Committee Meeting
“Internal Audit and Compliance Update”

February 11, 2013
FY2013 Audit Plan Progress

**Projects Completed**

ADA: Public Accommodations  
ADA: Telecommunications  
Clinical Accounts  
Commercial Contract Audits  
CORAL Research Project  
Human Resource Support  
ICD-10 Preparedness  
Intercollegiate Athletics Revenues/Expenses  
Meaningful Use  
NCAA Football Attendance  
Payment Card Industry/Network Security  
Research and Development  
Ryan White Clinic  
Student Experience  
Student Financial Aid  
Student Financial Aid Risk Assessment  
Student-Athlete Academics  
Student-Athlete Sports Practices and Training

**Projects in Progress**

Access of Information (HIPAA)  
ADA: Employment  
ADA: Public Entities  
Charge Capture and Collection  
Faculty Workload  
Release of Health Information (HIPAA privacy)  
Release of Health Information (minors, psych, HIV)  
Release of Mental Health Information  
Security Compliance (HIPAA)

**Projects to Be Initiated**

Charity Care and Community Service  
Discrimination/Affirmative Action  
Emergency Medical Treatment and Active Labor Act  
Joint Commission Regulations  
Medicare/Medicaid  
Occupational Safety and Health  
Research Billing (OIG): Clinical Trials  
Resident Duty Hours  
State Regulatory Compliance
Internal Audit

**Academic Enterprise**
- Faculty Workload
- Student Experience
- Student Financial Aid Risk Assessment

**Clinical Enterprise**
- Charge Capture and Collection
- CORAL Research Project
- Ryan White Clinic

**Information Technology**
- Clinical Accounts
- ICD-10 Preparedness
- Payment Card Industry/Network Security
- Student Financial Aid

**Business Support**
- Commercial Contract Audits
- Research and Development
Institutional Compliance

Intercollegiate Athletics Compliance
- Intercollegiate Athletics Revenues/Expenses
- NCAA Football Attendance
- Student-Athlete Academics
- Student-Athlete Sports Practices and Training

Americans with Disabilities Act Compliance
- ADA: Employment
- ADA: Public Accommodations
- ADA: Public Entities
- ADA: Telecommunications

Clinical Compliance
- Access of Information (HIPAA)
- Charity Care and Community Service
- Discrimination/Affirmative Action
- Emergency Medical Treatment and Active Labor Act
- Human Resource Support
- Joint Commission Regulations
- Meaningful Use
- Medicare/Medicaid
- Occupational Safety and Health
- Release of Health Information (HIPAA privacy)
- Release of Health Information (minors, psych, HIV)
- Release of Mental Health Information
- Research Billing (OIG): Clinical Trials
- Resident Duty Hours
- Security Compliance (HIPAA)
- State Regulatory Compliance

Compliance Oversight Also Provided in Areas Such As Research, Facilities, IT, Human Resources, and Business Ethics
Discussion on the Audit Process

- Are the University’s efforts in the above areas consistent with your (Finance and Audit Committee’s) view of the strategic plan?

- Do we need a course correction?

- Do you have suggestions or input?