The University of Toledo
Finance and Audit Committee Meeting

“Internal Audit and Compliance Update”

October 8, 2012
## FY2013 Audit Plan Progress

### Projects in Draft Report Phase
- Clinical Accounts
- Human Resource Support
- Research and Development
- Student Experience
- Student Financial Aid
- Student Financial Aid Risk Assessment
- Student-Athlete Academics
- Student-Athlete Sports Practices and Training

### Projects in Progress
- Access of Information (HIPAA)
- ADA: Public Accommodations
- ADA: Telecommunications
- Commercial Contract Audits
- Intercollegiate Athletics Revenues/Expenses
- Meaningful Use
- NCAA Football Attendance
- Payment Card Industry/Network Security
- Release of Health Information (HIPAA privacy)
- Security Compliance (HIPAA)

### Projects to Be Initiated
- ADA: Employment
- ADA: Public Entities
- Charge Capture and Collection
- Charity Care and Community Service
- Discrimination/Affirmative Action
- Emergency Medical Treatment and Active Labor Act
- Faculty Workload
- ICD-10 Preparedness
- Joint Commission Regulations
- Medicare/Medicaid
- Miscellaneous Income
- Occupational Safety and Health
- Payroll Processing
- Release of Health Information (minors, psych, HIV)
- Release of Mental Health Information
- Research Billing (OIG): Clinical Trials
- Resident Duty Hours
- State Regulatory Compliance
Americans with Disabilities Act

- Newest “service line” of UT Internal Audit
- September 2012: Hired Ms. Wendy Wiitala as The University’s ADA Compliance Officer, reporting to David Cutri
  - Wendy was a former UT faculty member teaching disability studies, and is a current member of the board of The Ability Center of Toledo
  - Wendy is a member of The President’s Council on Diversity
- Currently benchmarking UT to similarly-situated institutions
- Collaborator and advisor across the institution
Discussion on the Audit Process

• Are the University’s efforts in the above areas consistent with your (Finance and Audit Committee’s) view of the strategic plan?

• Do we need a course correction?

• Do you have suggestions or input?