The University of Toledo
Finance and Audit Committee Meeting

“Internal Audit and Compliance Update”

David Cutri
Director of Internal Audit and Chief Compliance Officer
March 21, 2016
FY2016 Internal Audit Plan Progress

Projects Completed
Higher Learning Commission Preparedness (federal compliance)
Higher Learning Commission Preparedness (resource allocation)
IT Risk Assessment/Cybersecurity
Department of Music
Payment Card Industry (PCI) Compliance
Property Control Systems Analysis
Procurement Cards
Research & Sponsored Programs
State Authorization Requirements
Student Financial Aid
Outside Income (Athletics)
Revenues/Expenses (Athletics)
Academic Accommodations (ADA)
Main Campus (ADA)
Access to Medical Records
Addiction Recovery Program
Cardiovascular Unit
Dana Cancer Center
Endoscopy Department
Health Care Assurance Program
Horizon Patient Portal
Meaningful Use

Projects Completed (cont’d.)
Medicare and Medicaid Claims
Pain Management Department
Pharmacy Logs
Health Science Campus (ADA)

Projects in Progress
Accounts Payable
Financial Aid Disclosures
Incentive Compensation
Management of Research Grants

Projects to Be Kicked off
Clery Act Compliance
Payroll Processing
Research Compliance
Title IX
Compliance (Athletics)
Football Attendance (Athletics)
Compliance (ADA)
Central Distribution Supply Inventory Management
Healthcare Compliance
Surgical Supply Center Inventory Management
The Clery Act requires all colleges and universities that participate in Federal financial aid programs to keep and disclose information about crime on and near their respective campuses.

Requirements of Act:
- Annual security report
- Crime log
- Timely warning
- Crime statistics

The University recently invested in a full-time Clery Act Compliance Officer (Meredith Blaine).

There are several intersections between Clery and Title IX, but each also have different scopes and reporting requirements.

A concerted effort has been made in recent weeks to identify Campus Security Authorities and to deliver Clery Act training to these employees that interact most directly with students.
Title IX Compliance

• Effective March 1, 2016, University of Toledo Title IX functions transferred from the Human Resources and Talent Development department to the Internal Audit and Compliance department.

• UT Law grad Donald Kamm has accepted our offer to be UT’s Director, Title IX and Compliance (i.e., Title IX Coordinator).
  ✓ Donald currently serves in a similar role at the University of Illinois at Chicago.
  ✓ Start date: May 2.

• Action plans to address all outstanding Title IX process are being assessed and will be communicated in the near term.
Title IX Action Items

- Title IX Policies and Procedures
- The Role of the Title IX Coordinator
- Process for Handling Title IX Reports
- Education and Training
- Website and Other Resources
- Assessing Campus Climate
- Historical Case Review