

FY2012 Risk Assessment and Annual Audit Plan

Internal Audits: Academic Enterprise

College of Graduate Studies
Faculty Workload/Academic Program Review
Student Affairs/Customer Service

Internal Audits: Clinical Enterprise

Employee Medical Benefits
Cash Handling and Cash Processing
Clinical Informatics Systems (Meaningful Use)

Internal Audits: Business Support Functions

University of Toledo Innovation Enterprises
Departmental "Field" Audits

Internal Audits: Information Technology

Payment Processing (EDI – system transformation)
PCI Compliance/Network Security
College Reorganization (system transformation)
IT General Controls

Compliance Reviews: Academic Enterprise

Student Financial Aid
Research Expenditures and Grants Accounting
Anonymous Reporting Line
Continuous Controls Monitoring
(including ongoing "p-card" auditing)

Compliance Reviews: Clinical Enterprise

Joint Commission Procedural Compliance
ICD-10 Preparedness
Inpatient Hospital Billing
Compliance and Privacy Update

Compliance Reviews: Intercollegiate Athletics

Intercollegiate Athletics Revenue/Expenses
Outside Income (barter agreements, sports camps)
NCAA Football Attendance
Student-Athlete Recruiting