

FY2017 Proposed Internal Audit Plan

Academic Enterprise

Financial Aid Disclosures^{WIP, ANN}
Student Financial Aid^{WIP, ANN}
Performance Audits^{ANN}
State Authorization^{ANN}

Clinical Enterprise

Billing (Revenue Cycle)^{ANN, ADV}
Anti-Kickback Statute^{ANN, ADV}
Coding^{ANN, ADV}
Cost Reports^{ANN, ADV}
Stark Laws^{ANN, ADV}
UTMC Compliance^{ANN, ADV}
Privacy and Security^{ANN, ADV}
Cash Handling: UTMC/Clinics^{WIP}
Claims for Clinical Trials^{WIP}
Patient Registration Process^{WIP}
Surgical Supply Center^{WIP}
Cash Handling: Pharmacies

Business Support

Signatory Authority for Contracts^{WIP}
Cash Handling: Dining
Central Distribution Supply Chain
Procurement/Purchasing Cards
Warehousing/Surplus Equipment
Accounts Payable
Human Resources Processes^{ADV}
Payroll Processes^{ADV}

Information Technology

Data Center^{WIP, ANN}
PCI Compliance
Health Care Informatics^{ADV}
System Penetration^{ADV}

Institutional Compliance

ADA: Facility Compliance^{ANN}
Institutional Compliance^{ANN}
Americans with Disabilities Act^{WIP}
Research Compliance

Campus Safety

Clery Act^{WIP, ANN}
Annual Fire Safety Report^{WIP, ANN}
Annual Security Report^{WIP, ANN}
Title IX^{ANN}
Code of Conduct
Disaster Recovery/Bus. Continuity
Environmental, Health, and Safety
Demand-Based Parking^{ADV}

Intercollegiate Athletics

Athletic Financial Statement^{WIP, ANN}
Eligibility Certification^{ANN}
NCAA Football Attendance^{ANN}
Outside Income^{ANN}
Athletics Compliance^{ANN}
Cash Handling: Athletics^{WIP}
Concerts and Special Events
Playing and Practice Time

WIP: Audit project Work In Progress

ANN: Annual audit cycle

ADV: Advisory projects