



Procedure: Risk Management Plan

Responsible Department: Risk Management

Scope: University of Toledo Medical Center

Effective date: 6/2026

I. RISK MANAGEMENT MISSION STATEMENT

The University of Toledo Medical Center (UTMC) Risk Management Program uses a planned and systematic process to monitor and control risk exposure in conjunction with promoting a safe patient care environment. Risk Management incorporates UTMC's mission to provide patient-centered, university-quality care, while assisting to enhance a safe environment for patients, employees, physicians, residents, students, visitors, and volunteers and minimizing financial loss to the institution through risk identification, risk reduction/prevention, and risk financing.

II. POLICY STATEMENT

The Office of Risk Management at the University of Toledo (University) oversees the Risk Management Program. UTMC Medical Staff and the Office of Legal Affairs will provide support for Risk Management functions and be available for consultations. Risk Management coordinates closely with the Central Verification Office, Quality Management, and the Office of Legal Affairs in fulfilling its mission.

III. RISK MANAGEMENT PURPOSE

The Risk Management Program's purpose is to protect the institution's financial and human assets through the risk management process.

IV. RISK MANAGEMENT FUNCTIONS

UT/UTMC has developed, implemented, and administers a comprehensive Risk Management Program which does the following:

- ▶ Collaborates with physicians, hospital administration, Quality Management, Service Excellence, Legal Affairs, and others in resolution of patient concerns or claims related to risk issues.

- ▶ Assists the Office of Legal Affairs in coordinating litigation defense.
- ▶ Coordinates the Underwriting Committee, which underwrites the University's professional liability insurance program for UTMC and the University of Toledo Physicians, LLC (UTP).
- ▶ Participates in the UTMC Credentials Committee in evaluating the risk profiles of Medical Staff applicants in general and the insurability of UTMC and UTP applicants in particular.
- ▶ Manages the property and casualty insurance programs for the University and UTP, including the management of the incident and claims processes for those insurance programs.
- ▶ Identifies and prevents or reduces potential risk factors, thereby protecting the financial and human assets of the institution, improving the quality of patient care, and increasing safety.
- ▶ Assists with promotion of effective patient/family communication on patient care and safety concerns.
- ▶ Develops and implements educational and training programs directed at reducing risk factors.
- ▶ Maintains claims data on investigation and management of loss exposures.
- ▶ Prepares reports for Finance and Auditors to assure proper funding of reserves and loss exposures.
- ▶ Participates in the UTMC Safety Committees for the prevention and reduction of environmental risk factors.

<p>Reviewed by:</p> <p>/s/</p> <hr/> <p>Daniel Barbee Chief Executive Officer</p> <p>6/2/2026</p> <hr/> <p>Date</p> <p>/s/</p> <hr/> <p>Puneet Sindhvani, MD Chief of Staff</p> <p>6/2/2026</p> <hr/> <p>Date</p> <p>/s/</p> <hr/> <p>Michael Ellis, MD Chief Medical Officer</p> <p>6/1/2026</p> <hr/> <p>Date</p> <p>Review/Revision Completed by: Risk Management, HAS</p>	<p>Initial effective date: 4/1998</p> <p>Review/Revision Date:</p> <p>7/2000 3/2002 11/2003 12/2004 6/2006 10/2007 2/2010 2/2011 5/2012 4/2013 4/2014 2/2015 6/2017 5/2025 6/2026</p> <p>Next review date: 6/2027</p>
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