

**Council on Governmental Relations Meeting  
Washington, D.C. – Feb. 19, 2009, 4-5 p.m.**

Summary of presentations by Joe Ellis (NIH) and Joanna Rom (NSF).

| Description                                | NSF   | NIH  |
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| Overall Funding Levels                     | \$3B Stimulus<br>(typical annual budget for the agency is \$6B)   | \$10.4B Stimulus<br>(typical annual budget for the agency is \$29B)  |
| Supplements to existing grants             | No  | Yes, both competing (expand aims) and administrative (no expansion but acceleration of “tempo” of research).<br><br>Solicitation details not yet released.<br><br>Funding must be segregated from non-stimulus funding and be reported separately. |
| Agency Timing                              | Stimulus funds available for obligation within 2 years.<br><br>Spending Plan must go to the Hill within 60 days but is expected to go much earlier (after NSB meeting next week).<br><br>First award release dates not yet known.   | No info provided today.<br><br>Hill has asked what NIH can accomplish within 30, 60, 90 days.<br><br>First award release dates not yet known.  |
| Likely Award Duration and Funding Strategy | 2-, 3-, 4- or 5-year awards with staggered end dates and usual provisions (including automatic carryover). All funding obligated at time of initial award for multi-year period. Obligation to spend promptly still applies despite up-front funding. This staggered end date approach still needs to be approved by Congress and could change. | 2-year awards only, funded one year at a time. Award will allow for automatic carryforward and will allow grantees to approve a one-time no cost-time extension, but expectation is that awards can be completed within two years.                 |
| Communications Plan                        | “Dear Colleague” letter within the next week.   | Not explicitly stated, but presumably NIH Guide.   |
| Solicitations for New Proposals            | Yes, but only for certain programs<br><br>Solicitation announcements expected to start being released later this week or very early next week   | Yes, one mechanism among several options<br><br>Solicitation announcements expected to start being released later this week or very early next week. Includes a Challenge grant Program (expected to be ~\$500K total cost per year x 2 years)     |

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| Funding for Proposals Already at Agency                                | Primary mechanism for distribution of Stimulus funds – NSF has 24,000 proposals in-house, 8,000 of which have already been reviewed and 3,000 of which have rankings of “very good’ or better.                           | One mechanism among several options. \$300M for Major Research Instrumentation will likely be used to fund proposals already submitted for the last proposal round (unclear whether there would also be a separate proposal call.)<br><br>Will be used for high-merit R01s already in the review process. See also revision requirements below.  |
| Revision Requirements for Already-Submitted Proposals                  | Regular NSF business process to request revised budget or revised statement of work when needed. No other special requirements anticipated at this time.   | PIs should expect calls from NIH if their proposal is selected for funding, requesting revised statement of work and budget for a 2-year time period (and presumably, JIT information)<br><br>Proposals that cannot realistically be down-scoped to a 2-year period will likely not be able to be funded.<br><br>All proposals will require detailed budget (even if originally submitted as a modular budget) to facilitate mandatory Stimulus reporting. |
| Funding for Proposals Recently Declined but Scientifically Meritorious | Undecided – if this occurs, NSF will not consider proposals declined before 10/1/08.   | No information provided.   |
| Proposal Format  | Regular requirements but solicitations will include Stimulus requirements and Stimulus-specific CFDA's   | Will use Grants.Gov<br><br>No additional information furnished today.  |
| Cost-Sharing   | No change.<br><br>Already submitted proposals with committed cost-sharing will still be liable for that commitment if awarded  | Cost-sharing waived for certain instrumentation programs (through NCRR)  |
| Award Terms  | Typical award terms except all time and money awarded up front. Progress report requirements still apply. Award will also include special stimulus clauses (may be common clause across all agencies – now in the works) | Typical award terms plus special stimulus clauses (may be common clause across all agencies – now in the works)<br><br>Award terms will include typical automatic carryforward and grantee ability to authorize a single no cost extension.  |

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|-----------------------|--|-------------|
| Reporting Obligations | <p>Quarterly reporting by institution 10 days after quarter end (NOTE: this does not allow enough time to match most U month-end close processes; missing data may threaten retention of awards if spending is low)</p> <p>Data elements include:</p> <ul style="list-style-type: none"> <li>▪ Percent complete</li> <li>▪ Stimulus funds received</li> <li>▪ Funds expended</li> <li>▪ Funds obligated (encumbered)</li> <li>▪ # of Jobs created</li> <li>▪ # of Jobs retained</li> <li>▪ Subawards issued over \$25K</li> <li>▪ Subawards issued under \$25K, in aggregate</li> </ul> <p>Agency and OMB will periodically review to determine when funds are being spent (awards may be terminated early if funds are not being spent in a timely manner)</p> <p>May create some sort of a federal system for us to upload these data, but that not yet determined</p> | Same as NSF |