The University of Toledo
Finance and Audit Committee Meeting

“Internal Audit Status Update”

December 16, 2013
FY2014 Audit Plan Progress

28 Projects on Approved Internal Audit and Compliance Plan

Projects Completed
MyUT Mobile Application
Catheterization Lab
Charge Capture
Clinical Joint Venture
Pharmacy Billing
Outside Income (Athletics)
Recruiting Activities (Athletics)

Projects in Progress
Faculty Workload
Payroll Processing
Student Financial Aid
University of Toledo Innovation Enterprises
Meaningful Use
Facilities (ADA)
Revenues and Expenses (Athletics)

Projects to Be Initiated
Capital Projects
Clinical Risk Assessment
ICD-10 Preparedness
Academic Accommodations (ADA)
Distance Learning (ADA)
Web Accessibility (ADA)
Clery Act (Athletics)
Clinical Compliance (various)
Recent Activities/Accomplishments

- Successful completion of FY2013 Plante and Moran audit
- Successful internal audits and State of Ohio audits of Financial Aid
- Reviewing and advising on Payroll Processing procedures/controls
- Development of Faculty Workload monitoring tool
- Leadership in Student Experience Steering Body
- Program of comprehensive hospital Revenue Cycle reviews
- Clinical Risk Assessments (detailed “field” reviews) of UTMC clinics
- Extensive HIPAA/HITECH policy and procedure revisions
- Monitoring of Meaningful Use processes and deliverables
- Assessment of ICD-10 preparedness
- Leading the UT Physicians’ practice plan compliance function
- Updated the University’s Institutional Compliance Plan and program
- Active participation in the President’s Redesign Coordination Group
- Successful ADA Summit and Seminar open to NW Ohio community
- Integration of Office of Academic Access into Internal Audit
- Cash Recoveries of lost revenue/expense overpayments via audits
Americans with Disabilities Act Summit

- Keynote Speaker: Mr. Scott Lissner, President of the Association on Higher Education and Disability

- Outline of accommodation process and discussion of the multiple on- and off-campuses resources available to the University of Toledo

- **Technical Working Sessions**
  - “Instituting IT Accessibility”
  - “Accessibility Evaluation Workshop”
  - “Word, PowerPoint, and PDF Accessibility”
  - “Basics of Video Accessibility”
  - “Best Practices for Learning Content Accessibility”
  - “Accessibility for Print Designers”
 UT Office of Academic Access

• Newest addition to the Internal Audit and Compliance Department, effective October 9\textsuperscript{th}

• Disability services support provider to UT students and faculty

• Examples of Services Provided:
  ✓ Alternative Media/E-Text
  ✓ Braille
  ✓ Sign Language/Speech-to-Text
  ✓ Captioning
  ✓ Classroom Adaptations
  ✓ Frequent Breaks
  ✓ Housing Assistance
  ✓ Lab Assistance
  ✓ Note-Taking
  ✓ Para-Transit Shuttle
  ✓ Personal Amplification Systems
  ✓ Preferential Seating
  ✓ Priority Registration
  ✓ Research Assistance
  ✓ Service Animals
  ✓ Alternative Testing
  ✓ Extended Testing Time
  ✓ Student/Faculty/Staff Support
Discussion on the Audit Process

• Are the University’s efforts in the above areas consistent with your (Finance and Audit Committee’s) view of the strategic plan?

• Do we need a course correction?

• Do you have suggestions or input?