Memorandum

To: All State Agencies
From: Darryl A. Peal, Deputy Director, State EEO Coordinator
Date: November 21, 2007
Re: Encouraging Diversity, Growth & Equity (EDGE) Goal Waiver/Modification Process Guidelines

To clear up any confusion as to what the Equal Opportunity Division (EOD) will be auditing when determining if a state agency is administering and in compliance with the EDGE program according to ORC/OAC I have assembled the minimum type of documentation expected prior to issuance of a waiver.

In the event a bidder is unable to meet the EDGE goal placed on a project/contract, a request for a waiver of all or part of the goal may be made to the director of administrative services (if administrating the project) or to the contract letting state agency’s chief officer authorized to enter into a contract(s).

The written request, along with required information and documentation, must be supplied documenting that a Good Faith Effort (GFE) was made to meet the goal. The awardee(s) must provide at a minimum the following information and documentation when requesting an EDGE goal waiver:

1. Formal request for EDGE waiver on company letterhead;
2. Copy(ies) of the “Intent to Perform” form;
3. Dollar value and percentage of contract stated EDGE goal; Dollar value and percentage of waiver request;
4. Print-out of EDGE certified businesses search results from EOD Web site;
5. Documentation of contractor utilized reasonable and available means to solicit all certified EDGE business enterprises that have the capability to perform the work of the contract;
6. Signed copy(ies) of each subcontract or purchase order agreement between the prime and EDGE subcontractor utilized in meeting the contract goal;
7. Copy(ies) of dated written communication, fax confirmation, personal contact, follow-up and negotiation with all solicited certified EDGE business enterprises that have the capability to perform the work of the contract;
8. Proof that the bidder or contractor selected portions of the contracted work to increase the likelihood of participation by EDGE certified business enterprises;
9. Copy(ies) of dated written communication and/or dated fax/e-mail/mail confirmation that EDGE bidders solicited were provided with adequate information about the plans, specification and requirements of the contract in a timely manner to assist them in responding to a solicitation;

10. Copy(ies) of dated written communication and/or dated fax/e-mail/mail confirmation of each EDGE vendor not awarded a contract the includes the dollar value of each reference item and work type;

11. Documentation of all negotiating efforts and basis for rejecting bids to include names, addresses, dates and telephone numbers of the EDGE certified business enterprises considered;

12. Copy(ies) of dated written communication and/or dated fax/e-mail/mail confirmation showing that the bidder or contractor utilized the services of one or more organizations that provide contractor assistance in the identification and recruitment of EDGE certified business enterprises;

13. Copy(ies) of dated written communication and/or dated fax/e-mail/mail confirmation of EDGEs that were not interested in providing a quote or did not respond for the project;

14. Documentation of GFEs to meet the EDGE subcontract goal, by looking beyond the items typically subcontracted or consideration of subcontracting items normally performed by the prime as a way to meet the EDGE goal.

*Please note: This list is not all inclusive.* Additional information or documents may be requested by the contract letting agency. EOD will conduct GFE audits of state agencies and will issue to the director of administrative services and/or to the contract letting agency’s chief officer a letter of compliance or noncompliance.

All questions relating to the EDGE program are to be directed only to the Equal Opportunity Division.

If we can be of further assistance, please contact Todd McGonigle, EEO Program Manager, at (614) 466-8380.
**EDGE Good Faith Effort Review**

### Prime Contract #1

<table>
<thead>
<tr>
<th>Reviewer name:</th>
<th>Review date:</th>
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#### Prime Contract Information

<table>
<thead>
<tr>
<th>Prime Contractor name:</th>
<th>Prime FTID#:</th>
<th>Phone#:</th>
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</thead>
<tbody>
<tr>
<td>Prime Contractor email:</td>
<td>Contact person:</td>
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<thead>
<tr>
<th>Contract #:</th>
<th>Total contract value:</th>
<th>Waiver requested:</th>
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</thead>
<tbody>
<tr>
<td>Original EDGE goal %</td>
<td>Revised %</td>
<td>Revised $</td>
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<tr>
<td>Original EDGE goal $</td>
<td>$0.00</td>
<td>$0.00</td>
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</table>

#### EDGE Sub-Contract Information #1

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<tbody>
<tr>
<td>Original EDGE goal %</td>
<td>Revised %</td>
<td>Revised $</td>
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<tr>
<td>Original EDGE goal $</td>
<td>$0.00</td>
<td>$0.00</td>
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#### EDGE Sub-Contractor Awardee Information #1

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<td>Phone#:</td>
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<td>Email:</td>
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<tr>
<td>Original EDGE goal %</td>
<td>Requested waiver %</td>
<td>Requested waiver $</td>
</tr>
<tr>
<td>Original EDGE goal $</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
</tbody>
</table>

Copy of signed contract or PO available?  
Copy of signed quote(s) available?  
Demonstration of Good Faith document signed by the contractor, associate, or agency representative?  
Copy of signed "Intent to Perform".

********** Data Validated? **********

#### EDGE Sub-Contractor Awardee Information #2

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<tr>
<th>Contract #:</th>
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<th>Waiver issued:</th>
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</thead>
<tbody>
<tr>
<td>#2 Sub name:</td>
<td>FTID#:</td>
<td>Phone#:</td>
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<td>Email:</td>
<td>Contact person:</td>
<td></td>
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<tr>
<td>Org. EDGE goal %</td>
<td>Requested waiver %</td>
<td>Requested waiver $</td>
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<tr>
<td>Org. EDGE goal $</td>
<td>$0.00</td>
<td>$0.00</td>
</tr>
</tbody>
</table>

Copy of signed contract or PO available?  
Copy of signed quote(s) available?  
Demonstration of Good Faith document signed by the contractor, associate, or agency representative?  
Copy of signed "Intent to Perform".

********** Data Validated? **********

#### EDGE Sub-Contractor Awardee Information #3

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<tr>
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Copy of signed contract or PO available?  
Copy of signed quote(s) available?
EDGE Good Faith Effort Review

Demonstration of Good Faith document signed by the contractor, associate, or agency representative?

Copy of signed "Intent to Perform".

Review Questions

General

1. Did contractor submit a formal request for an EDGE waiver on company letterhead?
2. Did the waiver request include all of the following:
   a. EDGE company name?
   b. EDGE company FTID#?
   c. Dollar value and percent of original contract EDGE stated goal?
   d. Dollar value and percent of EDGE waiver request?
3. Did contractor provide documentation of reason for request?

Comments:

Audit Findings:

OAC Section (D1)

Did the contractor utilize reasonable/available means to solicit all certified EDGE business enterprises that have the capability to perform the work of the contract?

1. Did contractor advertise in general circulations, trade association's, and/or focused media?
   Publication name: ______________________ Date: __________
   Publication name: ______________________ Date: __________
   Publication name: ______________________ Date: __________

Comments:

Audit Findings:

OAC Section (D2)

Did the contractor select portions of the contracted work to increase the likelihood of participation by EDGE certified business enterprises?
1. Did contractor breakdown the contract into economically feasible units?
2. Items reasonable for subcontracting?
3. Did contractor review non-traditional areas, i.e., goods/services, etc.?
4. Were the plans, specifications and requirements provided within a reasonable time to bid the project?
5. Did contractor consider all portions of the contract?

Data Validated:

Comments:

Audit Findings:

OAC Section (D3)

Did contractor provide all appropriate certified EDGEs with adequate information about the plans, specifications and requirements of the contract?

1. Did contractor provide written, email, and/or phone call notice to a reasonable number of EDGE companies that their interest in the contract was being solicited?

Company name: ____________________________ Contact name: ____________________________ Date(s) of contact: ____________________________
Info provided: ____________________________
Phone log, certified mail receipts, emails, etc. available for review?

Data Validated:

Company name: ____________________________ Contact name: ____________________________ Date(s) of contact: ____________________________
Info provided: ____________________________
Phone log, certified mail receipts, emails, etc. available for review?

Data Validated:

Company name: ____________________________ Contact name: ____________________________ Date(s) of contact: ____________________________
Info provided: ____________________________
Phone log, certified mail receipts, emails, etc. available for review?

Data Validated:

Comments:

Audit Findings:

OAC Section (D4)

Did the contractor negotiate in good faith with interested certified EDGE business enterprises?
EDGE Good Faith Effort Review

Company name #1:  
Phone#:  
Contact name:  
email:  
Date(s) of contact:  

Company name #2:  
Phone#:  
Contact name:  
email:  
Date(s) of contact:  

Company name #3:  
Phone#:  
Contact name:  
email:  
Date(s) of contact:  

1. Did contractor negotiate content of bid items?  
2. Did contractor negotiate bid items to be included in the bid package?  
3. Did contractor negotiate terms and conditions?  
4. Did contractor negotiate bonding and insurance requirements?  
5. Did contractor negotiate, clarify, and provide all descriptions and explanation of scope of work?  
6. Number of quotes received:  
7. Copy of quotes available:  

Data  

Comments:  

Audit Findings:  

OAC Section (D5)  

Did the contractor properly reject interested certified EDGE business enterprises as being unqualified for the work of the contract?  

1. Number of total quotes rejected:  

Company name #1:  
Phone#:  
Contact name:  
email:  
Date(s) of contact:  

1. Was company notified of rejection?  
2. Was rejection for sound reason?  
3. Reason for rejection?  

Company name #2:  
Phone#:  
Contact name:  
email:  
Date(s) of contact:  

1. Was company notified of rejection?  
2. Was rejection for sound reason?  
3. Reason for rejection?  

Company name #3:  
Phone#:  
Contact name:  
email:  
Date(s) of contact:  

1. Was company notified of rejection?  
2. Reason for rejection?  
3. Was rejection for sound reason?
 EDGE Good Faith Effort Review

Comments:

Audit Findings:

<table>
<thead>
<tr>
<th>OAC Section (D6)</th>
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<tbody>
<tr>
<td>Did the contractor utilize the services of one or more organizations that provide contractor assistance in the identification and recruitment of EDGE certified business enterprises?</td>
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</table>

Organization name(s):

Comments:

Audit Findings:

<table>
<thead>
<tr>
<th>OAC Section (D7)</th>
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<tbody>
<tr>
<td>Did the contractor utilize the list of qualified EDGE certified business enterprises as provided by the Equal Employment Opportunity Division of the Department of Administrative Services?</td>
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Date(s) utilized:
Search used properly, i.e., correct keywords, codes, etc.? Officer contacted: Date(s) of contact:

EOD personnel contacted for assistance? Search printout available? Comments:

Audit Findings:

<table>
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<th>Preliminary Finding:</th>
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<tbody>
<tr>
<td>Waiver issued in accordance with OAC 123:2-16-09?</td>
</tr>
</tbody>
</table>
Final Finding:
Waiver issued in accordance with OAC 123:2-16-09?
1.10 Drug Free Workplace Program Participation

1.10.1 During the Contract Time, the Contractor shall be enrolled in and remain in good standing in the Ohio Bureau of Workers’ Compensation (“OBWC”) Drug-Free Workplace Program (“DFWP”) or a comparable program approved by the OBWC that meets the requirements specified in O.R.C. Section 153.03 (“OBWC-approved DFWP”).

1.10.2 If the Contractor provides Subcontractors that provide labor on the Site, the Subcontractors shall be enrolled in and in good standing in the OBWC DFWP or an OBWC-approved DFWP.

.1 Each Subcontractor shall require all lower-tier Subcontractors with whom the Subcontractor is in contract for the Work to be enrolled in and be in good standing in the OBWC DFWP or an OBWC-approved DFWP prior to a lower-tier Subcontractor providing labor at the Site.

.2 Failure of the Contractor to require a Subcontractor to be enrolled in and be in good standing in the OBWC DFWP or an OBWC-approved DFWP prior to the time that the Subcontractor provides labor at the Site shall result in the Contractor being found in breach of the Contract and that breach shall be used in the responsibility analysis of that Contractor, or the Subcontractor who was not enrolled in a program, for future contracts with the State for five years after the date of the breach.

.3 Failure of a Subcontractor to require a lower-tier Subcontractor to be enrolled in and be in good standing in the OBWC DFWP or an OBWC-approved DFWP prior to the time that the lower-tier Subcontractor provides labor at the Site shall result in the Subcontractor being found in breach of the Contract and that breach shall be used in the responsibility analysis of that Subcontractor, or the lower-tier Subcontractor who was not enrolled in a program, for future contracts with the State for five years after the date of the breach.

.4 Prior to authorizing a Subcontractor to commence Work on the Project, the Contractor shall obtain the Contracting Authority’s approval, and shall also submit written confirmation of the Subcontractor’s enrollment on the Declaration of Subcontractors and Material Suppliers form to the A/E.

1.10.3 In addition to OBWC-approved DFWP Level 1 requirements, the Department requires each Contractor and Subcontractor that provides labor on the Site to perform random drug testing of 5 percent of its employees who perform labor on the Site. The random drug testing percentage shall also include the on-site supervisors of the Contractors and Subcontractors. Level 1 random drug testing shall otherwise comply with the same testing guidelines and criteria as required for OBWC-approved Level 2 testing. The Contractor and Subcontractor shall provide evidence of required testing to the Contracting Authority upon request.

1.11 EDGE Participation and Reporting

1.11.1 The Contractor shall participate in the “Encouraging Diversity Growth and Equity” (“EDGE”) Program by contracting with, and using one or more, businesses certified as an EDGE Business Enterprise (“EDGE-certified business”, “certified EDGE business”) by the EOD as proposed for each contract by the Contractor and as approved by the Contracting Authority for use on each contract.

.1 If the Contractor is an EDGE-certified business, the Contractor may include its own contract amount in the reporting.
.2 The amount of EDGE participation cannot exceed 100 percent of the Contractor’s contract amount.

1.11.2 The Contractor shall provide an EDGE Participation Quarterly Report for Contracts awarded with a Contract Time of 270 or more consecutive days.

.1 The Contractor shall provide quarterly status reports, produced by the Contractor and each applicable EDGE-certified business for the contract, indicating:

.1 The name of each EDGE-certified business;
.2 The original amount of the EDGE-certified business contract or purchase order with the Contractor;
.3 The current amount of the EDGE-certified business contract or purchase order;
.4 The amount invoiced to date;
.5 The amount paid to date; and
.6 A statement describing any substantive product or performance deficiencies.

.2 Quarterly reports are due on January 15, April 15, July 15, and October 15.

.3 The first quarterly report is due starting with the reporting month following the Contractor’s contract date.

.4 The Contractor shall provide reports for each EDGE-certified business; however, the quarterly reports may be consolidated and submitted as a singular document.

.5 The Contractor shall simultaneously submit its EDGE Participation Quarterly Report to EOD and to the Contracting Authority.

1.11.3 The Contractor shall provide an EDGE Participation Final Report simultaneously with its final Contractor Payment Request.

.1 The Contractor shall simultaneously submit its EDGE Participation Final Report to EOD and to the Contracting Authority.

.2 The Contractor and each certified EDGE Business Enterprise shall provide in the report certification that the submitted document is a true and accurate accounting of the original contract amount paid to, and received by, each EDGE Certified Business.

1.11.4 The Contractor shall provide the quarterly report(s) and the EDGE Participation Final Report in detail and form acceptable to the Contracting Authority.

1.11.5 The Contractor shall cooperate fully with requests for additional EDGE information and documentation from the EOD or the Contracting Authority.

ARTICLE 2 - THE CONTRACTOR

2.1 Construction Procedures

2.1.1 The Contractor is solely responsible for and has control over all construction means, methods, manners, techniques, sequences, and procedures and for coordinating all portions of the Work.

.1 If the Contract Documents give instructions that affect construction means, methods, manners, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite...
EDGE Requirement Checklist

Ohio Department of Administrative Services
General Services Division
State Architect’s Office • 4200 Surface Road • Columbus, Ohio 43228-1395

Proposer (Associate / CM):

☐ Seek EDGE Participation

☐ Submit Intent to Perform with Statement of Qualifications. Identify percentage of fee dedicated to EDGE participation.
  -or-
  ☐ If EDGE goal not met, submit Good Faith Effort

If selected:

☐ Submit reconfirmed Intent to Perform with Proposal. Identify percentage of Associate fee dedicated to EDGE participation.

If awarded:

☐ Submit EDGE participation information on monthly pay requests. Identify work provided by each EDGE-certified business on separate lines.

☐ Submit electronic payment request within five days of receipt of payment

☐ Submit EDGE participation information on quarterly reports.

☐ Submit EDGE participation information on final pay request.

☐ Submit certified statement of EDGE participation.

Bidder (Contractor):

☐ Seek EDGE participation

☐ Submit Bid. Identify commitment to participate in EDGE program (SF-6).
  -or-
  ☐ If EDGE goal not met, prepare Good Faith Effort and submit with Bid if possible.

If apparent low Bid:

☐ Prepare Intent to Contract and to Perform (RBI-3) and submit with Responsible Bidder Information Form within three business days of request.
  -or-
  ☐ If EDGE goal not met, include Good Faith Effort (if not submitted with Bid)

If awarded:

☐ Submit EDGE participation information on Schedule of Values. Identify work provided by each EDGE-certified business on separate lines.

☐ Submit electronic payment request within five days of receipt of payment

☐ Submit EDGE participation information on monthly pay requests. Identify work provided by each EDGE-certified business on separate lines.

☐ Submit EDGE participation information on quarterly reports.

☐ Submit EDGE participation information on final pay request.

☐ Submit certified statement of EDGE participation.
EDGE Participation / Intent to Perform

Ohio Department of Administrative Services
General Services Division
State Architect's Office • 4200 Surface Road • Columbus, Ohio 43228-1395

Associate

Associate ______________________ SAO Project No. ______________________

Project Name ______________________ Date ______________________

Certified EDGE Business Enterprise (EBE) (for project agreement at ANY tier)

EDGE-certified business ______________________ Phone ______________________

Address ______________________ Fax ______________________

City, State, Zip ______________________ Federal Tax I.D. ______________________

Briefly describe services, work or supplies to be provided by the certified EBE (may use industry codes)

Percentage of proposed EBE participation:* ______ Anticipated cost or fee payable to EBE firm: $ ____________

*If indicating less than 5 percent EDGE participation, the Associate must provide a "Demonstration of Good Faith Effort" (form attached) that the Associate attempted to meet the 5 percent participation goal established for this project by the Director of the Ohio Department of Administrative Services pursuant to Section 123.152 of the Ohio Revised Code.

Certified by EDGE Business Enterprise and by the Associate

The named Associate certifies that it intends to contract with the EDGE-certified business for the portion of the agreement described above related to this project. The named EDGE-certified business certifies that it intends to contract with the named Associate and intends to provide the portion of the Associate's scope of services as described above and for the anticipated cost or fee as indicated above.

If the named Associate is not selected to provide professional design services for this Project, this Statement of Intent shall be null and void.

Submitted as a part of the: □ RFO Response □ Proposal

EDGE-certified Business

Name ______________________

Signature ______________________ Date ______________________

Associate

Name ______________________

Signature ______________________ Date ______________________

SAO-F110-12v306, ADM-5847
EDGE Participation / Intent to Perform
Ohio Department of Administrative Services
General Services Division
State Architect's Office • 4200 Surface Road • Columbus, Ohio 43228-1395

Certified EDGE Business Enterprise (EBE) (for project agreement at ANY tier)

EDGE-certified business  Elster L. Fields & Associates  Phone  (614) 237-1733
Address  3350 E. Livingston, CMBC Bldg. Suite 1B  Fax  (614) 237-1733
City, State, Zip  Columbus, Ohio 43227  Federal Tax I.D.  

Briefly describe services, work or supplies to be provided by the certified EBE (may use industry codes)

Civil Engineering Services:

Percentage of proposed EBE participation:*  5  Anticipated cost or fee payable to EBE firm: $ 8,000.00

*If indicating less than 5 percent EDGE participation, the Associate must provide a "Demonstration of Good Faith Effort" (form attached) that the Associate attempted to meet the 5 percent participation goal established for this project by the Director of the Ohio Department of Administrative Services pursuant to Section 123.152 of the Ohio Revised Code.

Certified by EDGE Business Enterprise and by the Associate
The named Associate certifies that it intends to contract with the EDGE-certified business for the portion of the agreement described above related to this project. The named EDGE-certified business certifies that it intends to contract with the named Associate and intends to provide the portion of the Associate's scope of services as described above and for the anticipated cost or fee as indicated above.

If the named Associate is not selected to provide professional design services for this Project, this Statement of Intent shall be null and void.

Submitted as a part of:  ☑ RFQ Response  ☑ Technical Proposal  ☐ Fee Proposal

EDGE-certified Business

Name  Elster L. Fields & Associates
Signature  [Signature]
Date  02/15/06

Certified by

Name  Bennett Engineering, Inc.
Signature  [Signature]
Date  2/17/06

SAO-F110-12v1005, ADM-5832
## Associate or Contractor Information

**Company**

**Project Name**

**SAO Project No.**

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1. Indicate how you selected (if applicable) portions of the work or services to increase the likelihood of EDGE participation. (Attach additional pages if needed.)

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2. Indicate your use of the listing of EDGE-certified businesses provided by the DAS Equal Opportunity Division. When answering "yes," also indicate the date(s) you used the list.

<table>
<thead>
<tr>
<th>Utilized EDGE DAS EOD List</th>
<th>Yes</th>
<th>No</th>
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<tbody>
<tr>
<td>Date(s) Utilized</td>
<td>20</td>
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3. Indicate the services or organizations that provided assistance to you in identifying and recruiting EDGE-certified business that you used in preparing the proposal. (Attach additional pages if needed.)

<table>
<thead>
<tr>
<th>Organization</th>
<th>Date of Contact</th>
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<tbody>
<tr>
<td>Contact</td>
<td>Phone Number</td>
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<tr>
<td>Organization</td>
<td>Date of Contact</td>
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<td>Contact</td>
<td>Phone Number</td>
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</tbody>
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4. List all EDGE businesses contacted. (Attach additional pages if needed.)

<table>
<thead>
<tr>
<th>EDGE Business</th>
<th>Contact Name</th>
<th>Contact Date</th>
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5. List all EDGE firms to which you supplied adequate and timely information about the plans, specifications and requirements of the contract. (Attach additional pages if needed.)

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<tr>
<th>EDGE Business</th>
<th>Contact Name</th>
<th>Contact Date</th>
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EDGE / Demonstration of Good Faith continued

<table>
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<tr>
<th>Project Name</th>
<th>SAO Project No.</th>
</tr>
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</table>

6. List the names, addresses, dates and telephone numbers of all EDGE-certified firms with which you negotiated relative to this contract. (Attach additional pages if needed.)

<table>
<thead>
<tr>
<th>EDGE Business</th>
<th>Date of contact</th>
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</tr>
<tr>
<td>Phone</td>
<td>Date of contact</td>
</tr>
<tr>
<td>Date of contact</td>
<td>20</td>
</tr>
</tbody>
</table>

7. List all interested EDGE-certified firms which you rejected as being unqualified for the work of the contract. Please provide the reason(s) for the rejections. (Attach additional pages if needed.)

<table>
<thead>
<tr>
<th>EDGE Business</th>
<th>Reason(s) for rejection</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>EDGE Business</th>
<th>Reason(s) for rejection</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>EDGE Business</th>
<th>Reason(s) for rejection</th>
</tr>
</thead>
</table>

Certified by the Associate or Contractor
The named Associate or Contractor certifies that the information it has provided in this document and its attachment(s) is true and accurate.

<table>
<thead>
<tr>
<th>Associate</th>
<th>Contractor</th>
</tr>
</thead>
<tbody>
<tr>
<td>Name</td>
<td>Name</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Signature</th>
<th>Date</th>
<th>Signature</th>
<th>Date</th>
</tr>
</thead>
</table>

SAO-F110-13v0306, ADM-5832
EDGE Participation / Demonstration of Good Faith
Ohio Department of Administrative Services
General Services Division
State Architect's Office • 4200 Surface Road • Columbus, Ohio 43228-1395

Associate or Contractor Information
Company: Glassworks Plus, Inc.
Project Name: Atrium Skylight Ren. State of OH Compute
SAO Project No.: 100 - 2005 - 145

1. Indicate how you selected (if applicable) portions of the work or services to increase the likelihood of EDGE participation. (Attach additional pages if needed.)

The skylight metal and glazing are procured from major U.S. Corporations, which we deal with directly. All other services, apart from our own labor, were investigated on the Ohio DAS website for Edge participants. We were also able to locate Edge participants to supply protective materials.

2. Indicate your use of the listing of EDGE-certified businesses provided by the DAS Equal Opportunity Division. When answering "yes," also indicate the date(s) you used the list.

Utilized EDGE DAS EOD List
☐ Yes ☐ No
Date(s) Utilized: April 4, 2006
June 20, 2006

3. Indicate the services or organizations that provided assistance to you in identifying and recruiting EDGE-certified business that you used in preparing the proposal. (Attach additional pages if needed.)

Organization
Contact
Date of Contact
Phone Number

Organization
Contact
Date of Contact
Phone Number

4. List all EDGE businesses contacted. (Attach additional pages if needed.)

<table>
<thead>
<tr>
<th>EDGE Business</th>
<th>AA Programmed (Janitorial) Contact Name</th>
<th>Contact Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Ruby Doughty</td>
<td>June 29, 2006</td>
</tr>
<tr>
<td>EDGE Business</td>
<td>Ace Bldg. Maintance Contact Name</td>
<td>Contact Date</td>
</tr>
<tr>
<td></td>
<td>Ruby Doughty</td>
<td>June 29, 2006</td>
</tr>
<tr>
<td>EDGE Business</td>
<td>Gibco Cleaning Contact Name</td>
<td>Contact Date</td>
</tr>
<tr>
<td></td>
<td>Ruby Doughty</td>
<td>June 29, 2006</td>
</tr>
<tr>
<td>EDGE Business</td>
<td>High Standard Contact Name</td>
<td>Contact Date</td>
</tr>
<tr>
<td></td>
<td>Earl Walker</td>
<td>April 4, 2006</td>
</tr>
</tbody>
</table>

5. List all EDGE firms to which you supplied adequate and timely information about the plans, specifications and requirements of the contract. (Attach additional pages if needed.)

<table>
<thead>
<tr>
<th>EDGE Business</th>
<th>Ace Bldg. Maintance Contact Name</th>
<th>Contact Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Ruby Doughty</td>
<td>June 29, 2006</td>
</tr>
<tr>
<td>EDGE Business</td>
<td>High Standard Contact Name</td>
<td>Contact Date</td>
</tr>
<tr>
<td></td>
<td>Earl Walker</td>
<td>April 4, 2006</td>
</tr>
<tr>
<td>EDGE Business</td>
<td>Glo's Cleaning Service Contact Name</td>
<td>Contact Date</td>
</tr>
<tr>
<td></td>
<td>Gloria Alston</td>
<td>April 4, 2006</td>
</tr>
<tr>
<td>EDGE Business</td>
<td>J &amp; P Caulking Contact Name</td>
<td>Contact Date</td>
</tr>
<tr>
<td></td>
<td>Paul Ackins</td>
<td>April 4, 2006</td>
</tr>
</tbody>
</table>

SAO-F110-13v0306, ADM-5832
EDGE / Demonstration of Good Faith continued

Ohio Department of Administrative Services
General Services Division
State Architect's Office • 4200 Surface Road • Columbus, Ohio 43228-1395

Project Name: Atrium Skylight Renovation  SAO Project No.: 100 - 2005 - 145

6. List the names, addresses, dates and telephone numbers of all EDGE-certified firms with which you negotiated relative to this contract. (Attach additional pages if needed.)

<table>
<thead>
<tr>
<th>EDGE Business</th>
<th>Ultimax</th>
<th>EDGE Business</th>
<th>J &amp; P Caulking</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address</td>
<td>1710 Wilshire Drive</td>
<td>Address</td>
<td>3858 Alum Creek Drive</td>
</tr>
<tr>
<td>City, State, Zip</td>
<td>Columbus, Oh 43221</td>
<td>City, State, Zip</td>
<td>Columbus, Oh 43207</td>
</tr>
<tr>
<td>Contact</td>
<td>Magdy Abuillla</td>
<td>Contact</td>
<td>Paul Adkins</td>
</tr>
<tr>
<td>Phone</td>
<td>614.481.5458</td>
<td>Phone</td>
<td>614.481.0658</td>
</tr>
<tr>
<td>Date of contact</td>
<td>April 4, 2006</td>
<td>Date of contact</td>
<td>April 4, 2006</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>EDGE Business</th>
<th>Glo's Cleaning Service</th>
<th>EDGE Business</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address</td>
<td>P.O. Box 297764</td>
<td>Address</td>
</tr>
<tr>
<td>City, State, Zip</td>
<td>Columbus, Oh 43229</td>
<td>City, State, Zip</td>
</tr>
<tr>
<td>Contact</td>
<td></td>
<td>Contact</td>
</tr>
<tr>
<td>Phone</td>
<td></td>
<td>Phone</td>
</tr>
<tr>
<td>Date of contact</td>
<td>20</td>
<td>Date of contact</td>
</tr>
</tbody>
</table>

7. List all interested EDGE-certified firms which you rejected as being unqualified for the work of the contract. Please provide the reason(s) for the rejections. (Attach additional pages if needed.)

EDGE Business: J & P Caulking
Reason(s) for rejection: bid was 226% higher ($2520.00) than Non-Edge bidder

EDGE Business: Gibco One, Inc.
Reason(s) for rejection: Not BWC Drug Free

EDGE Business: Songhai Empire Enterprises
Reason(s) for rejection: Not BWC Drug Free

Certified by the Associate or Contractor
The named Associate or Contractor certifies that the information it has provided in this document and its attachment(s) is true and accurate.

Associate
Name

Contractor
Name: Ronald Anderson, Glassworks Plus, Inc.

07/21/06

SAO-F110-13v0306, ADM-5832
Atrium Skylight Renovation State of Ohio Computer Center

4.) Continued:
- Tanya's Cleaning Service          Tanya          April, 4th 2006
- John Wetzel Co.                   John           April, 6th 2006
- KLE Construction Company         John           April, 6th 2006
- R.L Cole Enterprises              John           April, 6th 2006
- AABA Trucking & Hauling           John           April, 6th 2006
- J&P Caulking                      Paul Adkins     April, 4th 2006
- Ultimax, Inc.                     Magdy Abulla    April, 4th 2006

5.) Continued:
- Ultimax, Inc.                     Magdy Abulla    April, 6th 2006

7.) Continued:
- Edge Business: High Standard Cleaning
  Reason: No Bid, Couldn't do job
- Edge Business: Tanya's Cleaning Service
  Reason: No Bid, Couldn't do job
- Edge Business: John Wetzel Co.
  Reason: Does not service Columbus area referred us to another Crane service
- Edge Business: KLE Construction Company
  Reason: Does not service Columbus area
- Edge Business: R.L Cole Enterprises
  Reason: Does not service Columbus area
- Edge Business: AABA Trucking and Hauling
  Reason: Does not service Columbus area
Signature  Date

Contractor:
Glassworkz Plus Inc.
Ronald Anderson, Project Manager
Signature  Date
COMMITMENT TO PARTICIPATE
IN THE
EDGE BUSINESS ASSISTANCE PROGRAM

Bidder: Mark only one option.
Use “☑” or “☒” to mark option included in Bid
If marking Option B, also show percentage of proposed participation.

__ Option A
Bidder commits to meet or exceed the advertised EDGE Participation Goal of 5% of the Contract award amount, calculated as a portion of the Base Bid plus all accepted Alternates, by using certified EDGE Business Enterprise(s).

Bidder agrees that if selected for consideration of the Contract, it shall provide (if not provided with the Bidder’s Bid) to the Contracting Authority, at the location required and within 3 business days after receiving notice from the Contracting Authority, its fully-completed Bidder’s Qualification Form, including a Certified Statement of Intent To Contract and To Perform form for each certified EDGE Business Enterprise proposed for use by the Bidder if awarded the Contract for this Project.

__ Option B (also indicate percentage -- see text )
Bidder does not meet the advertised EDGE Participation Goal percentage, but, if awarded the Contract for this Project, commits to provide _____ % of the Contract award amount, calculated as a portion of the Base Bid plus all accepted Alternates, by using certified EDGE Business Enterprise(s).

Bidder acknowledges it understands the requirement for it to provide and agrees to provide to the Contracting Authority, if selected for consideration of the Contract, within 3 days after notice from the Contracting Authority, a detailed Demonstration of Good Faith describing its efforts undertaken prior to submitting its Bid to meet the advertised EDGE Participation Goal percentage for the Contract for this Project.

Bidder commits to provide to the Contracting Authority at the location required, and within 3 days after receiving notice from the Contracting Authority, its fully-completed Bidder’s Qualification Form, including a Certified Statement of Intent To Contract and To Perform form for each certified EDGE Business Enterprise proposed for use by the Bidder if awarded the Contract for this Project.

__ Option C
Bidder declares that the Bidder is a certified EDGE Business Enterprise and that if awarded this Contract, the EDGE Participation percentage will be 100% of the Contract award amount.
EDGE PARTICIPATION

Certified Statement of Intent to Contract and Perform

Bidder: Submit one fully-completed form for each EDGE Business Enterprise.

SAO Project: [ Insert Project number ]

Project Name: [ Insert Project name ]

A. Bidder Company Name: ________________________________

B. Certified EDGE Business Enterprise information (for project contract at ANY tier)

Mark all that apply:

___ Bidder    ___ Subcontractor    ___ Material Supplier    ___ Professional Services    ___ Goods/Services

EDGE Business Name: ________________________________

EDGE Business Address: ________________________________

EDGE Business Federal Tax I.D. ___________________________  E-mail: ________________________________

Contact Person: ___________________________  Phone: ( ) __________

Insert a brief description of materials, supplies, labor, etc. to be provided (may use industry codes)

_________________________________________________________________________________________

_________________________________________________________________________________________

C. Certification of Intent

By signing below, Bidder certifies that it intends to contract with the certified EDGE Business Enterprise for the portion of the Contract described above related to the Contract for this Project and for the estimated cost shown below. By signing below, the certified EDGE Business Enterprise certifies that it intends to contract with the Bidder and intends to provide the portion of the Contract described above related to the Contract for this Project for the estimated cost of:

$________________________ and _____1/100 dollars ( $____________________ ).

In the event the named Bidder is NOT the successful Bidder, this Statement shall be null and void.

EDGE Business Enterprise

Authorized representative name, title (print or type)

Signature of authorized representative

Bidder

Authorized representative name, title (print or type)

Signature of authorized representative

END OF DOCUMENT
RE: Approval of Partial Waiver of EDGE Participation Goal
Project Number [AGY-FYNNNN]
[Project Name]
[Project Location]
[Agency or Institution]

Dear [Title] [Lastname]:

This letter is in response to your correspondence dated [Date], requesting a partial waiver of the EDGE participation goal on the above-referenced Project. In your request, you advised that due to the nature of the Work of this Project, there were limited opportunities to identify and recruit EDGE-certified Business Enterprises ("EBE"). However, you were able to contract $[Amount] of the Work with EBES to achieve [%] percent EDGE participation.

Before the Ohio Department of Administrative Services ("Department") may approve a partial waiver of the EDGE participation goal on this Project, all avenues to achieve full participation must be exhausted. It is the goal of the Department that all Contracts meet the required EDGE participation goal. However, we recognize that in certain limited circumstances, it may not be possible for all vendors to meet the assigned level of participation. To determine whether a partial waiver should be granted, the Department is required to review the quality and intensity of those efforts, and determine whether the efforts of the vendor constitute a good faith effort under the circumstances.

Specifically, you provided an explanation and documentation regarding your efforts to contact, contract with, and utilize the services of EBES in the performance of your Contract. These items were verified and validated by the Project Manager and DAS Legal Counsel. Based on the documentation and explanation submitted, your request for a partial waiver of the original [%] percent EDGE participation goal is approved by the Department. The revised EDGE participation goal shall be [%] percent for your Contract.

If you have any questions related to this matter, please contact your Project Manager or Coordinator.
Sincerely,

Craig Weise
State Architect

Enclosures: [Name of Enclosure]

CC: [Project Manager Name], SAO Project Manager
[Project Coordinator Name], SAO Project Coordinator
Richard Scott, DAS Legal Counsel
Todd McGonigle, EOD
[Date]

[Firstname Lastname, Title]  
[Company Name]  
[Address]  
[City, State Zip]

Sent via [facsimile] [e-mail] to: [name]  
[fax number or e-mail address]  
Then, certified U.S. Mail

RE: Denial of Partial Waiver of EDGE Participation Goal  
Project Number [AGY-FYNNNN]  
[Project Name]  
[Project Location]  
[Agency or Institution]

Dear [Title] [Lastname]:

This letter is in response to your correspondence dated [Date], requesting a partial waiver of the EDGE participation goal on the above-referenced Project. In your request, you advised that due to the nature of the Work of this Project, there were limited opportunities to identify and recruit EDGE-certified Business Enterprises ("EBE"). However, you were able to contract $[Amount] of the Work with EBEs to achieve [%] percent EDGE participation.

Before the Ohio Department of Administrative Services ("Department") may approve a partial waiver of the EDGE participation goal on this Project, all avenues to achieve full participation must be exhausted. It is the goal of the Department that all Contracts meet the required EDGE participation goal. However, we recognize that in certain limited circumstances, it may not be possible for all vendors to meet the assigned level of participation. To determine whether a partial waiver should be granted, the Department is required to review the quality and intensity of those efforts, and determine whether the efforts of the vendor constitute a good faith effort under the circumstances.

Specifically, you provided an explanation and documentation regarding your efforts to contact, contract with, and utilize the services of EBEs in the performance of your Contract. These items were reviewed by the Project Manager and DAS Legal Counsel. Based on the documentation and explanation submitted, your request for a partial waiver of the original [5] percent EDGE participation goal is denied by the Department. The EDGE participation goal shall remain [%] percent for your Contract.

Within 3 days of receiving this notice, please submit either (1) additional documentation which sufficiently and verifiably demonstrates your good faith effort to achieve the participation goal; or (2) documentation that you have achieved the participation goal. If no information is received in that period, the Department will deem you non-responsive, and award the Contract to the [next most qualified A/E firm.] [next lowest responsive and responsible bidder, or reject all Bids.]
If you have any questions related to this matter, please contact your Project Manager or Coordinator.

Sincerely,

Craig Weise
State Architect

Enclosures:  [Name of Enclosure]

CC:  [Project Manager Name], SAO Project Manager
     [Project Coordinator Name], SAO Project Coordinator
     Richard Scott, DAS Legal Counsel
     Todd McGonigle, EOD
### EDGE Participation Quarterly Report
April 15, 2006

**Project Name:** Marion County Maintenance Facility  
**SAO Project No.:** 770-2004-088-01-01

<table>
<thead>
<tr>
<th>A. Name of EDGE-Certified Business:</th>
<th>Woodland Acres, Inc.</th>
</tr>
</thead>
<tbody>
<tr>
<td>B. Original Contract Amount with ACI Const. Co., Inc.</td>
<td>$11,046.24</td>
</tr>
<tr>
<td>C. Current Amount of Contract:</td>
<td>$11,046.24</td>
</tr>
<tr>
<td>D. Amount Invoiced to Date:</td>
<td>$0.00</td>
</tr>
<tr>
<td>E. Amount Paid to Date:</td>
<td>$0.00</td>
</tr>
<tr>
<td>F. Any Substantive Product or Performance Deficiencies:</td>
<td>N/A</td>
</tr>
</tbody>
</table>

Certified by ACI Const. Co., Inc. and EDGE Contractor
ACI Const. Co., Inc. and the named EDGE contractor certify that the information in this document is true and accurate.

**Contractor:** ACI Const. Co., Inc.  
**Signature:**  
**Date:** 4-11-2006

**EDGE Contractor:** Woodland Acres, Inc.  
**Signature:**  
**Date:** 4-7-06

2959 S. US 23 Alvada, Ohio 44802  
(419) 585-4224 FAX (419) 585-2801  
[www.alvadaconstruction.com](http://www.alvadaconstruction.com)  
EQUAL OPPORTUNITY EMPLOYER
EDGE Participation Quarterly Report
April 15, 2006

Received
APR 14 2006
JEROME M. SCOTT, ARCHITECTS

Project Name: Marion County Maintenance Facility
SAO Project No. 770-2004-088-01-01

A. Name of EDGE-Certified Business: J & P Caulking
B. Original Contract Amount with ACI Const. Co., Inc. $6,844.00
C. Current Amount of Contract: $6,844.00
D. Amount Invoiced to Date: $0.00
E. Amount Paid to Date: $0.00
F. Any Substantive Product or Performance Deficiencies: N/A

Certified by ACI Const. Co., Inc. and EDGE Contractor
ACI Const. Co., Inc. and the named EDGE contractor certify that the information in this document is true and accurate.

Contractor: ACI Const. Co., Inc.  EDGE Contractor: J & P Caulking

Signature 4-11-2006  Signature 4-7-06
Date Date

2959 S. US 23 Alvada, Ohio 44802 (419) 595-4224 FAX (419) 595-2801
www.alvadaconstruction.com

EQUAL OPPORTUNITY EMPLOYER
April 3, 2006

Ohio Department of Administrative Services
General Services Division
Office of State Architect
4200 Surface Road
Columbus, Ohio 43228-1395

Attn: Terrence Banbury

Re: Marion County Maintenance Facility
ODOT
Marion, Ohio
DAS 770-2004-088

EDGE Participation Quarterly Report

Edge Certified Subcontractor
E.T. & Associates
42 Middleground Rd.
Pataskala, Ohio 43062
Phone (740) 927-1510

Purchase Order Amount: $10,275.00
Amount Invoiced to Date: -$0-
Amount Paid to Date: -$0-

Certified by E.T. & Associates
Maria Elena Wilhelms
Name
Date April 05, 2006

Certified by Carl's P & H, Inc.
Pat Haniec Past 4-10-06
Name
Date

WE ARE A WOMEN OWNED BUSINESS ENTERPRISE / EQUAL OPPORTUNITY EMPLOYER

TOTAL P. 02
April 5, 2006

Ohio Department of Administrative Services
General Services Division
Office of State Architect
4290 Surface Road
Columbus, Ohio 43228-1395

Attn: Terrence Baubury

Re: Marion County Maintenance Facility
ODOT
Marion, Ohio
DAS 770-2004-088

EDGE Participation Quarterly Report

**Certified Subcontractor**
E.T. & Associates
42 Middleground Rd.
Pataskala, Ohio 43062
Phone (740) 927-1510

Purchase Order Amount: $10,275.00
Amount Invoiced to Date: 0-
Amount Paid to Date: 0-

Certified by E.T. & Associates

[Signature]
Name
Date: April 05, 2006

Certified by Carl's P & H, Inc.

[Signature]
Name
Date: 4-10-06

WE ARE A WOMEN OWNED BUSINESS ENTERPRISE / EQUAL OPPORTUNITY EMPLOYER
4/25/06

Jerome M. Scott Architects
330 Spring Street West, Ste. 365
Columbus, OH 43215
Attn: Carrie Morrison

Re: ODOT Marion County Maintenance Facilities
770-2004-088-01-03
JAG #886

Madam:

EDGE QUARTERLY REPORT

As of 4/25/06 J.A. Guy, Inc. has no participation in EDGE Program.

Very truly yours,

J.A. Guy, Inc.
Mechanical Contractor

John Hart
Project Manager

JH/sc

c.c. BG, FILE, JH
April 14, 2006

Jerome M. Scott Architects, Inc.
Attn: Carrie Morrison
330 Spring St., Suite 365
Columbus, Ohio 43215

Re: Project No. 770-2004-088-01-04
Marion County Maintenance Facility
EDGE Participation Quarterly Report

As indicated on the original bid form, we have no EDGE participation on this project.

Sincerely,

[Signature]
Gerald C. Converse
President
October 5, 2007

Gerk Paving, Inc.
Attention: Dean Breese, Vice President
P.O. Box 6087
Napoleon, Ohio 43545

Re: Partial Waiver of EDGE Goal
Project 353 - Granted

Dear Mr. Breese:

This letter is in response to your September 10, 2007 correspondence requesting a partial waiver of the EDGE subcontracting goal on the above-referenced project. After thoroughly reviewing the documentation submitted with the request, it has been determined that a good faith effort was made to meet the EDGE goal.

Specifically, you provided thorough documentation and explanation regarding those items that were non-performed on this project. This was verified by Engineer, Jim Burchfield. The non-performance of certain reference items had a direct impact on the EDGE goal on this project. Therefore, after considering your efforts, and upon review of the documentation provided, your request or a partial waiver of 4.00% of the original 5% EDGE subcontracting goal is hereby approved. If you have any questions relating to this matter, please contact Cathy Smith at (614) 466-7499.

I trust that you will find this information useful. Please do not hesitate to contact me with any questions or comments regarding this matter.

Respectfully,

John Williams, Project Manager
State Agency Name

cc: T. McGonigle, EOD
File
October 5, 2007

KOK Construction Company, Inc.
Attention: Joe Johnson, Vice President, Estimating
82386 McKinley Ave.
Columbus, Ohio 43222

Re: Partial Waiver of EDGE Goal
Project 35473 – Not Granted

Dear Mr. Johnson:

This letter is in response to your correspondence dated September 20, 2007 requesting a partial waiver of the EDGE subcontracting goal on the above-referenced project. I have reviewed the information and documentation you provided. In your letter you advised that due to the nature of the work involved on this project, there were very few subcontracting opportunities to pursue with EDGE firms; however, you were able to subcontract out $580,505.18 worth of work to EDGE firms in order to achieve 2.7% of the original 5% EDGE goal on this project.

On September 26, 2007, Sharon Frank of this office sent an e-mail to Mr. Frank Elude requesting that additional explanation be given regarding the quantity of work subcontracted to DOK Trucking, Inc., one of the EDGE firms scheduled to work on this project. Because DOK Trucking, Inc., subcontract is written for only a small portion of the trucking work on this project, Mrs. Frank asked if DOK Trucking, Inc. advised that they would only be able to perform the particular reference items listed in their subcontract agreement and nothing more. Mr. Elude’s response to this inquiry advised that DOK Trucking, Inc. did quote some other items on the project. Mr. Elude also advised that DOK Trucking, Inc. pricing when compared with KOK’s unit prices bid to DAS, were 8.87% higher than KOK’s unit prices.

As you are aware, DAS does not require a prime contractor to accept a quote from an EDGE subcontractor that is deemed unreasonably high – over 10% what either the Prime could self perform or what a non-EDGE subcontractor could perform when comparing the same reference items. Based on the information provided, DOK Trucking, Inc. pricing falls within a reasonable subcontracting range.

Before the Department will approve a partial waiver of the EDGE goal on this project, all avenues to increase EDGE participation must be exhausted. It is the intent of DAS that all contractors meet the assigned EDGE participation goal. It is recognized that in certain limited circumstances it may not be possible for all contractors to meet the EDGE
participation goal. To determine whether a partial waiver of the EDGE goal should be
g grated to a contractor that has failed to meet the assigned EDGE participation goal, DAS
must decide whether the efforts made to obtain EDGE participation constituted good faith
efforts under the circumstances. DAS is required to review the quality and intensity of those
efforts. Accordingly, based on the foregoing, the Department must deny your request for a
partial waiver. Please submit information to this office no later than October 15, 2007 which
will demonstrate the good faith efforts made to achieve the EDGE goal assigned to this
project. If no information is forthcoming by that date, DAS will be forced to consider
rescinding the award of this contract to your company and the award being made to the next
lowest responsible bidder.

Please do not hesitate to contact me with any questions or comments regarding this matter.

Respectfully,

John Williams, Project Manager
State Agency Name

cc: T. McGonigle, EOD
File
October 5, 2007

The Shuling Company
Attention: James Shuling, President
123 No Name Street
Columbus, Ohio 43215

Re: EDGE Goal – Failure to Perform - Project 315

Dear Mr. Shuling:

During the course of auditing EDGE subcontractor payment(s) in relationship to EDGE goal participation on the above project, it was discovered that Shuling Company was $22,435.62 short for the project’s EDGE goal. The contract amount for this project was $1,903,138.16 with an EDGE goal of 5%, which translates to $95,156.91. The project is now complete.

The following table offers the pertinent EDGE information for this project:

<table>
<thead>
<tr>
<th>EDGE Subcontractor</th>
<th>Intent to Perform Commitment</th>
<th>EDGE Subcontractor Total Payment(s)</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Eaton Construction Co., Inc.</td>
<td>$39,049.50</td>
<td>$53,585.70</td>
<td>Completed</td>
</tr>
<tr>
<td>Hartsook Contracting Co.</td>
<td>$28,157.89</td>
<td>$8,959.13</td>
<td>Completed</td>
</tr>
<tr>
<td>A &amp; A Safety, Inc.</td>
<td>$36,540.00</td>
<td>$14,104.38</td>
<td>Completed</td>
</tr>
<tr>
<td>Rath Construction &amp; Builders Supply</td>
<td>$51,662.00</td>
<td>$86,103.30</td>
<td>Completed</td>
</tr>
<tr>
<td>Tom Mayle &amp; Sons Construction, Inc.</td>
<td>$46,219.00</td>
<td>$46,219.00</td>
<td>Completed</td>
</tr>
<tr>
<td>Total EDGE Dollars spent</td>
<td></td>
<td>$148,846.81</td>
<td></td>
</tr>
</tbody>
</table>

Service, Support, Solutions for Ohio Government
On August 30, 2007, EEO Coordinator Mary Johnson (DAS) sent the Shuling Company’s EEO Compliance Officer Becky Neth an e-mail asking for a status update on all payments made to EDGE vendors and copies of all checks issued to the EDGE subcontractors.

On September 29, 2007, Ms. Neths researched this issue and could not identify that all EDGE subcontractors were paid the amounts listed in the “Intent to Perform Form” Ms. Neths advised that A & A Safety, Inc performed limited work on this project and in fact the work that they were scheduled to perform for the project was actually self-performed by The Shuling Company. Ms. Neths also advised that The Shuling Company’s process for ensuring that subcontracted EDGEs receive timely notification for performing work on a project was flawed.

Because of the breach of the EDGE participation section of this contract and the failure on the part of The Shuling Company to request a waiver this letter will serve as a formal reprimand and will be taken into consideration at future bid openings.

Please submit in writing by October 14, 2005 a plan outlining how you intend on rectifying this matter.

Please do not hesitate to contact me if you have any questions or comments regarding this matter.

Respectfully,

John Williams, Project Manager
State Agency Name

cc: T. McGonigle, EOD
File

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