

FY2011 Internal Audit Plan

Internal Audits – Academic Enterprise

- Research Expenditures
- Student Financial Aid (eligibility)
- Commercial Contract Audit (Ottawa House)
- Departmental “Field” Audits

Internal Audits – Clinical Enterprise

- Collections and Cash Management Procedures
- Pharmacy Accounting for Drugs and Equipment
- Charge Capture/Charge Master
- UTMC Strategic Initiatives (various)

Internal Audits – Support Functions

- Financial Reporting and Accounting Closing (CSA)
- Purchase Card and Purchasing Process Redesign
- Accounts Payable
- Time and Reporting System

Information Technology

- Banner 8 (new systems development)
- People-Admin (new systems development)
- Commercial Contract Audit (digital campus)
- IT General Controls

Intercollegiate Athletics

- Outside Income (barter agreements, etc.)
- NCAA Football Attendance
- Rocket Sports Properties
- Team Travel

Compliance Projects – Academic Enterprise

- Anonymous Reporting Line
- Continuous Controls Monitoring
- Higher Learning Commission (HLC) Assessment
- “Red Flags” Procedures

Compliance Projectors – Clinical Enterprise

- Access to Clinical Informatics Systems
- Clinic Recordkeeping/Documentation (ICD-10)
- Joint Commission Procedural Compliance
- Compliance and Privacy Update